SUN MICROSYSTEMS, INC.

Form 4

November 07, 2008

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* BARKSDALE JAMES L

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

SUN MICROSYSTEMS, INC.

[JAVA]

(Check all applicable)

X\_ Director

10% Owner Other (specify Officer (give title

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

11/05/2008

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

SANTA CLARA, CA 95054

4150 NETWORK CIRCLE

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

4. Securities Acquired 3. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 7. Nature of 6. Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code Amount (D) Price

(A)

or

Common 11/05/2008  $A^{(4)}$ 37,878  $275,807^{(1)}$ D Α Stock 0.001

See Common 600 Ι footnote. Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (Right to Buy)	\$ 16.832					(3)	11/13/2008	Common Stock	2,500	
Director Stock Option (Right to Buy)	\$ 18.4					(3)	11/10/2009	Common Stock	2,500	
Director Stock Option (Right to Buy)	\$ 15.6					(3)	10/27/2010	Common Stock	2,500	
Director Stock Option (Right to Buy)	\$ 21.32					(3)	11/02/2011	Common Stock	2,500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Transfer and the same and the s	Director	10% Owner	Officer	Other			
BARKSDALE JAMES L							
4150 NETWORK CIRCLE	X						
SANTA CLARA, CA 95054							

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## **Signatures**

/s/ James L. Barksdale

\*\*Signature of
Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 46,307 shares of unvested restricted stock units.
- (2) These shares are held by a charitable remainder trust for which Mr. Barksdale serves as trustee. Mr. Barksdale disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- (3) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.
- (4) This restricted stock unit vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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