Edgar Filing: Pehota Joseph - Form 4

Pehota Josep Form 4	h										
August 10, 2	_										
FORM	14 _{UNITE}	D STATE	S SECUR	ITIES AI	ND EX(CHA	NGE (COMMISSION		PPROVAL	
				hington,					Number:	3235-0287	
Check thi if no long	or								Expires:	January 31, 2005	
subject to Section 16. Form 4 or				GES IN I SECURI		CIA	LOW	NERSHIP OF	Estimated a burden hou response	average Irs per	
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section	7(a) of the		ility Hold	ing Com	npany	Act of	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Pehota Joseph			Symbol	5				5. Relationship of Reporting Person(s) to Issuer			
	GENWC [GNW]	GENWORTH FINANCIAL INC [GNW]					(Check all applicable)				
(Last) (First) (Middle) 3. Date of (Month/Da				Earliest Transaction av/Year)				Director 10% Owner X Officer (give title Other (specify			
	ORTH FINAN WEST BROA		08/09/20	-				below) SVP - Cor	below) porate Develop	pment	
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
RICHMON	D, VA 23230							Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ities Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if any		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Class A				Code V			Price	(Instr. 3 and 4)	_		
Common Stock	08/09/2011			М	1,750	A	<u>(1)</u>	11,645	D		
Class A Common Stock	08/09/2011			F	581	D	\$ 6.04	11,064	D		
Class A Common Stock								1,293	I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	08/09/2011	М	1,750	(2)	(2)	Class A Common Stock	1,750	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Pehota Joseph C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			SVP - Corporate Development				
Signatures							
/s/ Richard J. Oelhafen, Jr., by power of attorney		08/10/2	2011				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.

(2) Restricted Stock Units reported on this Form 4 vested and converted to Class A Common Stock on 8/09/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date