## Edgar Filing: Goldberg Robert Bruce - Form 4

Form 4 May 07, 2012 FORM 4 Check this box if no longer subject to Section 16. Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 Goldberg Robert Bruce (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year)
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB Number: 3235-0287         Check this box is not on one subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Status and a security an
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB Number: 2005 Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       OMB Sumber: 2005 Estimated average burden hours per response         (Print or Type Responses)       Filed pursuant is Section 16 (a) of the Investment Company Act of 1940 1(b).       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Leporting Person in 10% Owner
Washington, D.C. 20549       OMB 3235-0287         Washington, D.C. 20549       Number: January 31, 2005         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires: January 31, 2005         StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires: January 31, 2005         Form 4 or       Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b).       Section 17(a) of the Investment Company Act of 1940       Section 17(a) of the Investment Company Act of 1940         1(b).       (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       _X_Director       _10% Owner
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person <sup>*</sup> Goldberg Robert Bruce (Last) (First) (Middle) 2. Issuer Name and Ticker or Trading Symbol Ceres, Inc. [CERE] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Middle) 2. Issuer Name and Ticker or Trading Symbol Ceres, Inc. [CERE] (Check all applicable) (Last) (First) (Middle) (Last) (First) (Middle)
Subject to subject to subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Estimated average burden hours per response       2005         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(b).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).       Section 17(a) of the Investment Company Act of 1940 1(c).
Section 16.       SECURITIES       burden hours per response       0.5         Form 4 or       Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations       0.5         obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       1935 or Section       0.5         See Instruction       30(h) of the Investment Company Act of 1935 or Section       1940       1(b).       1(b).         (Print or Type Responses)       2. Issuer Name and Ticker or Trading       5. Relationship of Reporting Person(s) to Issuer       Issuer         Goldberg Robert Bruce       Symbol       Issuer       (Check all applicable)       1. Director       10% Owner         (Last)       (First)       (Middle)       3. Date of Earliest Transaction      10% Owner
Form 4 or       response       0.5         Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       0.5         obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       1935 or Section         and continue.       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       1940         (Print or Type Responses)       30(h) of the Investment Company Act of 1940       5. Relationship of Reporting Person(s) to Issuer         I. Name and Address of Reporting Person*       2. Issuer Name and Ticker or Trading       5. Relationship of Reporting Person(s) to Issuer         Goldberg Robert Bruce       Symbol       Ceres, Inc. [CERE]       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction
obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).         (Print or Type Responses)       30(h) of the Investment Company Act of 1940 1(b).         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol Ceres, Inc. [CERE]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)
may continue.       Section 17/(a) of the Fublic Offity Holding Company Act of 1935 of Section 1955 of Section 1950 of
See Instruction 1(b).       30(h) of the Investment Company Act of 1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Goldberg Robert Bruce       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer
(Print or Type Responses)         1. Name and Address of Reporting Person <sup>*</sup> Goldberg Robert Bruce       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading       5. Relationship of Reporting Person(s) to         Goldberg Robert Bruce       Symbol       Issuer         (Last)       (First)       (Middle)         3. Date of Earliest Transaction       (Month/Day/Year)
Goldberg Robert Bruce Symbol Issuer Ceres, Inc. [CERE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)X_Director10% Owner
Goldberg Robert Bruce Symbol Issuer Ceres, Inc. [CERE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)X_Director10% Owner
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(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)10% Owner
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)10% Owner
C/O CERES, INC., 1535 RANCHO 05/03/2012Officer (give titleOther (specify below) below)
CONEJO BOULEVARD
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check
Filed(Month/Day/Year) Applicable Line)
_X_Form filed by One Reporting Person Form filed by More than One Reporting
THOUSAND OAKS, CA 91320
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>
1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of
Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect
(Instr. 3)anyCodeDisposed of (D)Beneficially(D) or IndirectBeneficial(Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)Owned(I)Ownership
Following (Instr. 4) (Instr. 4)
(A) Reported
I ransaction(s)
Code V Amount (D) Price (Instr. 3 and 4)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Persons who respond to the collection of SEC 1474
information contained in this form are not (9-02)
required to respond unless the form displays a currently valid OMB control

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Der
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

number.

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(Instr. 3)	Price of (N Derivative Security		Month/Day/Year)	Acquired (A) or Disposed (D) (Instr. 3, and 5)	d of							
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Stock Option (Right To Buy)	\$ 12.1	05/03/2012		А	5,833		<u>(1)</u>	05/02/2022	Common Stock	5,833		
Reporting Owners												
Rep	oorting Owner N	ing Owner Name / Address		Relationships								
1	8		Director	10% Owner	Officer	Ot	her					
C/O CER 1535 RAN		JO BOULEVAR 2A 91320	D X									
Signa	tures											
/s/ Wilfriede van Assche, Attorney-in-Fact for Robert Goldberg				Bruce		(	)5/07/2012					
<b>**</b> Signature of Reporting Person				Date								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One hundred percent of the shares subject to the option vest and become exercisable on February 27, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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