Edgar Filing: Pape Kathy L - Form 4

Pape Kathy L Form 4	4										
February 25,	2013										
									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287			
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	Filed purs Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						burden hou response	Estimated average burden hours per response 0.5		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Pape Kathy L			2. Issuer Name and Ticker or Trading Symbol American Water Works Company,				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			Inc. [AWK]					· · · · · · · · · · · · · · · · · · ·			
(Last) (First) (Middle) 1025 LAUREL OAK ROAD			3. Date of Earliest Transaction(Month/Day/Year)02/21/2013					Director 10% Owner XOfficer (give title Other (specify below) below) president, PA American Water			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
VOORHEES	S, NJ 08043							Form filed by M Person	More than One Ro	eporting	
(City)	(State) (Z	Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	on Date, if	3. Transactic Code (Instr. 8)	Disposed	(A) o of (D)	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/21/2013	02/21/2	2013	Code V A	Amount 1,046 (1)	or (D)	Price \$ 0	Transaction(s) (Instr. 3 and 4) 19,589	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Pape Kathy L - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Units	<u>(2)</u>	02/21/2013	02/21/2013	А	1,545	(2)	12/31/2015	Common Stock	1,545
Employee Stock Options (right to buy)	\$ 39.45	02/21/2013	02/21/2013	A	6,992	<u>(3)</u>	12/31/2019	Common Stock	6,992

Reporting Owners

Reporting Owner Name / Address	Relationships							
1 0	Director 10% Owner Officer		Other					
Pape Kathy L 1025 LAUREL OAK ROAD VOORHEES, NJ 08043			President, PA American	Water				
Signatures								
/s/ Karen K. Pettiford-Wilson b filed	previously 02/2:	5/2013						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three equal installments on January 1, 2014, 2015 and 2016.

Each performance stock unit represents a contingent right to receive one share of American Water's (the "Company") common stock. The actual number of performance stock units that may be earned will be based on the Company's annual total stockholder return ("TSR")

Date

- (2) relative to the performance of the other companies in the Dow Jones U.S. Utility Index over the three-year performance period beginning on January 1, 2013 and ending December 31, 2015. The number of performance stock units shown in column 5 is equal to the target number of performance stock units that may be earned.
- (3) The options vest in three equal installments on January 1, 2014, 2015 and 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.