#### Edgar Filing: BASKETT FOREST - Form 3/A

**BASKETT FOREST** Form 3/A May 22, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Tableau Software Inc [DATA]  **BASKETT FOREST** (Month/Day/Year) 05/16/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year)

1954 GREENSPRING DRIVE, Â SUITE 600

1. Title of Security

(Instr. 4)

(Street)

\_X\_ Director \_X\_ 10% Owner Officer Other (give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

05/16/2013

Form filed by More than One Reporting Person

TIMONIUM, MDÂ 21093

(City) (State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) or Exercise Form of Derivative Security Ownership Price of Derivative (Instr. 5) (Instr. 4) Security: Derivative Date Expiration Title Amount or Security Direct (D) Number of Exercisable Date or Indirect Shares (I)

						(Instr. 5)	
Class B Common Stock	(1)	(2)	Class A Common Stock	2,407,666	\$ <u>(1)</u>	I	See Note 3 (3)
Series A Preferred Stock	(5)	(5)	Class B Common Stock	10,602,127	\$ <u>(5)</u>	I	See Note 3 (3)
Series B Preferred Stock	(5)	(5)	Class B Common Stock	6,541,485	\$ <u>(5)</u>	I	See Note 3 (3)
Stock Option (Right to Buy)	(4)	03/14/2023	Class B Common Stock	40,000	\$ 14.98	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
BASKETT FOREST 1954 GREENSPRING DRIVE SUITE 600 TIMONIUM Â MDÂ 21093	ÂX	ÂX	Â	Â		

## **Signatures**

/s/ Louis Citron, attorney-in-fact 05/22/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon any transfer,
- (1) whether or not for value and whether voluntary or involuntary or by operation of law, except for certain transfers described in the issuer's amended and restated certificate of incorporation, including, without limitation, certain transfers for tax and estate planning purposes.
- (2) Not applicable.
  - The Reporting Person is a manager of NEA 11 GP, LLC, which is the sole general partner of NEA Partners 11, Limited Partnership ("NEA Partners 11"). NEA Partners 11 is the sole general partner of New Enterprise Associates 11, Limited Partnership ("NEA 11"), the
- (3) direct beneficial owner of the Shares. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of the Shares held by NEA 11, except to the extent of his pecuniary interest therein.
- (4) The option vests and becomes exercisable in equal monthly installments over the 48 months following the vesting start date.
- (5) Each share of the issuer's Series A Preferred Stock and Series B Preferred Stock will be automatically converted into one (1) share of Class B Common Stock immediately prior to the closing of the issuer's initial public offering, and has no expiration date.

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Reporting Owners 2

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#### **Remarks:**

The original Form 3 incorrectly reported ownership of the Class B Common Stock underlying the H Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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