Edgar Filing: Kum Chong Guk - Form 4

Kum Chong Form 4 May 31, 2012											
FORM									OMB A	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287			
if no long subject to Section 1 Form 4 of Form 5 obligation may cont	heck this box no longer biject to ection 16. STATEMENT OF CHANG STATEMENT OF CHANG Section 16. Filed pursuant to Section 16 Section 17(a) of the Public Ut 30(h) of the Inv				IGES IN BENEFICIAL OWNERSHIP OF SECURITIES 6(a) of the Securities Exchange Act of 1934, tility Holding Company Act of 1935 or Section twestment Company Act of 1940					Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type F	Responses)										
Kum Chong Guk S			2. Issuer Name and Ticker or Trading Symbol First California Financial Group, Inc. [FCAL]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N	/liddle)	3. Date of Earliest Transaction (Month/Day/Year)			_X_ Director 10% Owner _X_ Officer (give title Other (specify					
3027 TOWN 300	NSGATE ROAD,	SUITE	05/31/20	-				below) Pres	below) sident & CEO		
			endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
WESTLAK	E VILLAGE, CA	91361						Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)		of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
Common				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Stock, \$0.01 par value	05/31/2013			М	29,592 (1)	A	\$ 4.93	419,294 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kum Chong Guk 3027 TOWNSGATE ROAD, SUITE 300 WESTLAKE VILLAGE, CA 91361	Х		President & CEO				
Signatures							

/s/ Romolo Santarosa, Attorney-in-Fact	05/31/2013
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 29,592 stock options were exercised pursuant to a grant of stock options on February 25, 2009.
- (2) Includes previously reported 300,000 restricted shares of common stock granted on July 20, 2011 and 13,805 shares of restricted stock granted on February 25, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.