

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

December 03, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Perian Ross

2. Issuer Name and Ticker or Trading Symbol  
SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
7800 WOODLEY AVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/29/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Information Officer

VAN NUYS, CA 91406

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/29/2013		M		4,193	A	\$ 15.17
Common Stock	11/29/2013		S		4,193	D	\$ 19.66
Common Stock	11/29/2013		M		1,250	A	\$ 16.32
Common Stock	11/29/2013		S		1,250	D	\$ 19.66
Common Stock	11/29/2013		M		687	A	\$ 16.76

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Common Stock	11/29/2013	S	687	D	\$ 19.67	2,946	D
Common Stock	11/29/2013	M	4,807	A	\$ 15.17	7,753	D
Common Stock	11/29/2013	S	4,807	D	\$ 19.68 (2)	2,946	D
Common Stock	11/29/2013	M	2,500	A	\$ 16.32	5,446	D
Common Stock	11/29/2013	S	2,500	D	\$ 19.67 (3)	2,946	D
Common Stock	11/29/2013	M	313	A	\$ 16.76	3,259	D
Common Stock	11/29/2013	S	313	D	\$ 19.74	2,946	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option ISO (Right to Buy)	\$ 15.17	11/29/2013		M	4,193	(4) 08/14/2019	Common Stock	4,193
Stock Option ISO	\$ 16.32	11/29/2013		M	1,250	(5) 05/14/2020	Common Stock	1,250

(Right to Buy)

Stock Option

ISO	\$ 16.76	11/29/2013	M	687	<u>(6)</u>	05/04/2022	Common Stock	687
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(Right to Buy)

Stock Option

NQ	\$ 15.17	11/29/2013	M	4,807	<u>(7)</u>	08/14/2019	Common Stock	4,807
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(right to Buy)

Stock Option

NQ	\$ 16.32	11/29/2013	M	2,500	<u>(8)</u>	05/14/2020	Common Stock	2,500
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(Right to Buy)

Stock Option

NQ	\$ 16.76	11/29/2013	M	313	<u>(9)</u>	05/04/2022	Common Stock	313
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(Right to Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Perian Ross 7800 WOODLEY AVE VAN NUYS, CA 91406			Chief Information Officer	

## Signatures

/s/ Kerry Shiba as Attorney-in-Fact

12/03/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This price represents an average price with a trading range of a high of \$19.67 and a low of \$19.65.
- (2) This price represents an average price with a trading range of a high of \$19.70 and a low of \$19.67
- (3) This price represents an average price with a trading range of a high of \$19.68 and a low of \$19.66.
- (4) These options vested 28% on August 14 2012, and 72% on August 14 2013
- (5) These options vested 50% on May 14 2013, and 50% on May 14 2014.

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- (6) These options vested 26% on May 4 2013, and 37% on May 4 2014, and 37% on May 4 2015.
- (7) These options vested 38% on August 14 2010, and 38% on August 14 2011, and 24% on August 14 2012.
- (8) These options vested 50% on May 14 2011 and 50% on May 14 2012.
- (9) These options vested 100% on May 4 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.