Edgar Filing: TEL INSTRUMENT ELECTRONICS CORP - Form 4/A

TEL INSTRUMENT ELECTRONICS CORP

Form 4/A July 25, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer

subject to Section 16. Form 4 or

Form 5

obligations may continue. **SECURITIES**

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * LEON GEORGE J

TEL INSTRUMENT **ELECTRONICS CORP [TIK]**

(Last) (First)

(Middle)

ONE BRANCA RD.

(Street)

EAST

(City)

RUTHERFORD, NJ 07073-2121

(State)

2. Issuer Name and Ticker or Trading

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 11/11/2010

4. If Amendment, Date Original

Filed(Month/Day/Year) 11/24/2010

Officer (give title below)

Issuer

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Other (specify

Applicable Line)

_X__ Director

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

(Zip)

Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

Indirect Ownership (Instr. 4)

7. Nature of

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number Transactionof

6. Date Exercisable and **Expiration Date**

7. Title and Amount of 8. **Underlying Securities**

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | (Month/Day/Year) | | (Instr. 3 and 4) | |
|--------------------------------------|---|------------|-------------------------|--------------------|---|-----|---------------------|--------------------|------------------|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 6.6 | 11/11/2010 | | A | 500 | | 11/20/2011 | 11/10/2015 | Common stock | 500 |
| Stock Option (right to buy) | \$ 6.6 | 11/11/2010 | | A | 500 | | 11/11/2010 | 11/11/2015 | Common stock | 500 |
| Stock Option Exercise | \$ 3.58 | 10/24/2010 | | D | | 500 | 10/24/2006 | 10/24/2010 | Common stock | 500 |
| Stock Option Exercise | \$ 3.7 | 11/11/2010 | | D | | 500 | 11/10/2006 | 11/11/2010 | Common stock | 500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| , G | Director | 10% Owner | Officer | Other | | |
| LEON GEORGE J ONE BRANCA RD. EAST RUTHERFORD, NJ 07073-2121 | X | | | | | |

Signatures

/s/ Joseph P. Macaluso, By Power of Attorney 07/25/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported in original filing, but is exempt from disclosure pursuant to Rule 16a-4(d) as an expiring long derivative security position.

Reporting Owners 2

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Remarks:

Original filing reported Date of Earliest Transaction Required to be Reported as 10/24/2010. This amended filing reflects 11/1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.