#### Edgar Filing: TEL INSTRUMENT ELECTRONICS CORP - Form 4/A

#### TEL INSTRUMENT ELECTRONICS CORP

Form 4/A August 07, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 4 or
Form 5
obligations
may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 1(b).

Rice Robert A

(Last)

(Print or Type Responses)

2. Issuer Name **and** Ticker or Trading 5. Relationship of Reporting Person(s) to

Issuer

below)

Symbol TEL INSTRUMENT

ELECTRONICS CORP [TIK]

3. Date of Earliest Transaction

\_\_X\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

Estimated average

burden hours per

ONE BRANCA ROAD (Month/Day/Year) 01/30/2013

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year) 02/11/2013

 $6. \ Individual \ or \ Joint/Group \ Filing (Check$ 

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting Person

#### EAST RUTHERFORD, NJ 07073

1. Name and Address of Reporting Person \*

(First)

(City)	(State) (Z	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		
			Code V	(A) or		Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock	01/30/2013(1)		M	500	A	\$ 3.73	103,704	D			
Common Stock	01/30/2013(1)		M	500	A	\$ 3.85	104,204	D			
Common Stock	01/30/2013(1)		M	200	A	\$ 3.7	104,404	D			
Common Stock	01/30/2013(1)		M	500	A	\$ 3.03	104,904	D			
Common Stock	01/30/2013(1)		M	1,000	A	\$ 2.79	105,904	D			

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Common  $01/30/2013\underline{^{(1)}}$ M 1,000 A \$ 2.4 106,904 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative ode Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Exercise	\$ 3.73	01/30/2013		M		500	01/30/2009	01/30/2013	Common Stock	500
Stock Option Exercise	\$ 3.85	01/30/2013		M		500	02/11/2009	02/11/2013	Common Stock	500
Stock Option Exercise	\$ 3.7	01/30/2013		M		200	05/07/2009	05/07/2013	Common Stock	200
Stock Option Exercise	\$ 3.03	01/30/2013		M		500	10/29/2009	10/29/2013	Common Stock	500
Stock Option Exercise	\$ 2.79	01/30/2013		M		1,000	11/08/2009	11/08/2013	Common Stock	1,000
Stock Option Exercise	\$ 2.4	01/30/2013		M		1,000	12/03/2009	12/03/2013	Common Stock	1,000

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(3)								
Stock Option Exercise	\$ 4.1	11/13/2012(1)	D	500	11/13/2008	11/13/2012	Common Stock	500
Stock Option Exercise	\$ 3.9	12/05/2012(1)	D	2,000	12/05/2008	12/05/2012	Common Stock	2,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rice Robert A ONE BRANCA ROAD EAST RUTHERFORD, NJ 07073	X						

## **Signatures**

/s/ Joseph P. Macaluso, By Power of Attorney 08/07/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction dates were corrected from the original filing.
- (2) Reported in original filing, but was exempt from disclosure pursuant to Rule 16a-4(d) as an expiring long derivative security position.
- (3) Amended filing to correct Table II, Item 5. Original filing reported as Acquired, should have been reported as Disposed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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