

INTEVAC INC  
Form SD  
May 18, 2018

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

**FORM SD**

**Specialized Disclosure Report**

**INTEVAC, INC.**

**(Exact name of Registrant as specified in its charter)**

**State of Delaware  
(State or other jurisdiction  
of incorporation or organization)**

**0-26946  
(Commission  
File Number)  
3560 Bassett Street**

**94-3125814  
(IRS Employer  
Identification Number)**

**Santa Clara, CA 95054**

**(Address of principal executive offices and zip code)**

**James Moniz**

**(408) 986-9888**

**(Name and telephone number, including area code, of the person to contact in connection with this report)**

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1, 2017 to December 31, 2017.

**Section 1 Conflict Minerals Disclosure**

**Item 1.01 Conflict Minerals Disclosure and Report**

With respect to the reporting period from January 1, 2017 to December 31, 2017, Intevac Inc. (the Company ) (i) has determined that conflict minerals (as defined in Section 1, Item 1.01(d)(3) for Form SD) are necessary to the functionality or production of products that the Company has manufactured and contracted to manufacture, (ii) has performed, in good faith, a reasonable country of origin inquiry ( RCOI ) regarding the minerals that is designed to determine whether any of the conflict minerals originated in the Democratic Republic of the Congo or any adjoining country, as defined by paragraph (d)(1) of Item 1.01 of the Form SD, or are from recycled or scrap sources, as defined by paragraph (d)(6) of Item 1.01 of the Form SD and (iii) based on its RCOI, the Company has performed due diligence procedures as described further in the Conflict Minerals Report filed as Exhibit 1.01 hereto.

**Item 1.02 Exhibit  
Conflict Minerals Disclosure**

In accordance with Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended ( Rule 13p-1 ), and this Specialized Disclosure Report on From SD (this Form ), the Company has filed a Conflict Minerals Report, which is attached as Exhibit 1.01 to this Form. A copy of this Form and the Conflict Minerals Report are publically available at [www.intevac.com](http://www.intevac.com).

**Section 2 Exhibits**

**Item 2.01 Exhibits**

**Exhibit**

| <b>Number</b> | <b>Description</b>  |
|---------------|---|
| Exhibit 1.01  | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form. |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTEVAC, INC.

Date: May 18, 2018

/s/ JAMES MONIZ

James Moniz

Executive Vice President, Finance and Administration,  
Chief Financial Officer and Treasurer

**EXHIBIT INDEX**

| <b>Exhibit<br/>Number</b> | <b>Description</b>  |
|---------------------------|---|
| Exhibit 1.01              | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form. |