Edgar Filing: MKS INSTRUMENTS INC - Form 8-K

MKS INSTRUMENTS INC Form 8-K April 29, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 29, 2019

MKS Instruments, Inc.

(Exact name of Registrant as Specified in Its Charter)

Massachusetts (State or Other Jurisdiction

000-23621 (Commission File Number) 04-2277512 (IRS Employer

of Incorporation)

Identification No.)

2 Tech Drive, Suite 201,

01810

Andover, MA (Address of Principal Executive Offices)

(Zip Code)

Registrant s Telephone Number, Including Area Code: (978) 645-5500

Edgar Filing: MKS INSTRUMENTS INC - Form 8-K

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Edgar Filing: MKS INSTRUMENTS INC - Form 8-K

Item 2.02 Results of Operations and Financial Condition.

On April 29, 2019, MKS Instruments, Inc. announced its financial results for the quarter ended March 31, 2019. The full text of the press release is attached as Exhibit 99.1 to this Current Report on Form 8-K.

The information in this Form 8-K and the Exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Press Release dated April 29, 2019

Exhibit Index

Exhibit No. Description

99.1 Press Release dated April 29, 2019

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: April 29, 2019

MKS Instruments, Inc.

By: /s/ Seth H. Bagshaw Name: Seth H. Bagshaw

Title: Sr. Vice President, Chief Financial Officer and Treasurer