# MARTIN WILLIAM C Form 3 December 26, 2017 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

### OMB APPROVAL

OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

\_X\_ Form filed by More than One

Person

Reporting Person

(Print or Type Responses)

| 1. Name and Address of Reporting<br>Person <u>*</u><br>MARTIN WILLIAM C |         |          | <ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul> | 3. Issuer Name and Ticker or Trading Symbol DSP GROUP INC /DE/ [DSPG] |  |  |
|---|---------|----------|---|---|--|--|
| (Last)  | (First) | (Middle) | 12/15/2017  | 4. Relationship of Reporting<br>Person(s) to Issuer                   | 5. If Amendment, Date Original Filed(Month/Day/Year) |  |

(Check all applicable)

(give title below) (specify below)

See Explanation of Responses

SEC 1473 (7-02)

Director

Officer

10% Owner

\_X\_ Other

C/O RAGING CAPITAL MANAGEMENT, LLC, TEN PRINCETON AVENUE, PO BOX 228

(Street)

(State)

### ROCKY HILL, NJÂ 08553-0228

(**C**)

| (City)                         | (State)      | (Zip)         | Table I - Non-Derivative Securities Beneficially Owned      |  |   |  |  |
|--------------------------------|--------------|---------------|---|--|---|--|--|
| 1.Title of Secur<br>(Instr. 4) | rity         |               | 2. Amount of Securities<br>Beneficially Owned<br>(Instr. 4) | 3.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial<br>Ownership<br>(Instr. 5) |  |  |
| Common Sto                     | ock, \$0.001 | par value (1) | 2,172,872   | I (2) (3)  | By Raging Capital Master Fund,<br>Ltd.                      |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.         | 5.        | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|------------|-----------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion | Ownership | Beneficial Ownership  |

# Edgar Filing: MARTIN WILLIAM C - Form 3

| (Month/Day/Year)    |                    | Derivative S<br>(Instr. 4) | ecurity                          | or Exercise<br>Price of | Form of<br>Derivative                                       | (Instr. 5) |
|---------------------|--------------------|----------------------------|----------------------------------|-------------------------|---|------------|
| Date<br>Exercisable | Expiration<br>Date | Title                      | Amount or<br>Number of<br>Shares | Derivative<br>Security  | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |            |

# **Reporting Owners**

| Reporting Owner Name / Address   |            | Relationships |         |                              |  |  |
|--|------------|---------------|---------|------------------------------|--|--|
|  | Director   | 10% Owner     | Officer | Other                        |  |  |
| MARTIN WILLIAM C<br>C/O RAGING CAPITAL MANAGEMENT, LL<br>TEN PRINCETON AVENUE, PO BOX 228<br>ROCKY HILL, NJ 08553-0228 | C Â        | Â             | Â       | See Explanation of Responses |  |  |
| Raging Capital Management, LLC<br>TEN PRINCETON AVENUE<br>PO BOX 228<br>ROCKY HILL, NJ 08553-0228                      | Â          | Â             | Â       | See Explanation of Responses |  |  |
| Signatures   |            |               |         |                              |  |  |
| By: /s/ Frederick C. Wasch as attorney-in-fact for William C. Martin   | 12/26/2017 |               |         |                              |  |  |
| <u>**</u> Signature of Reporting Person  |            | Date          |         |                              |  |  |
| By: Raging Capital Management, LLC,<br>By: /s/ Frederick C. Wasch, Chief<br>Financial Officer                          | 12/26/2017 |               |         |                              |  |  |
| <u>**</u> Signature of Reporting Person  |            | Date          |         |                              |  |  |

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Form 3 is filed jointly by Raging Capital Management, LLC ("Raging Capital") and William C. Martin (collectively, the "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.

Represents securities held directly by Raging Capital Master Fund, Ltd. ("Raging Master"). Raging Capital is the Investment Manager of Raging Master. William C. Martin is the Chairman, Chief Investment Officer and Managing Member of Raging Capital. Raging Master has delegated to Raging Capital the sole authority to vote and dispose of the securities held by Raging Master pursuant to an Investment Management Agreement, dated November 9, 2012, as amended and restated on December 21, 2016 (the "IMA").

The IMA may be terminated by any party thereto effective at the close of business on the last day of any fiscal quarter by giving the other party not less than sixty-one days' written notice. As a result, each of Raging Capital and William C. Martin may be deemed to

(3) beneficially own the securities held by Raging Master. Raging Master specifically disclaims beneficial ownership of the securities held by it by virtue of its inability to vote or dispose of such securities as a result of the IMA.

### Â

#### **Remarks:**

#### Exhibit 24- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

# **Reporting Owners**

# Edgar Filing: MARTIN WILLIAM C - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.