Edgar Filing: CONNECTICUT WATER SERVICE INC / CT - Form 4

CONNECTICUT WATER SERVICE INC / CT

Form 4

February 05, 2007

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WESTBROOK MAUREEN P

2. Issuer Name and Ticker or Trading Symbol

CONNECTICUT WATER SERVICE INC / CT [CTWS]

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

02/01/2007

(Check all applicable)

5. Relationship of Reporting Person(s) to

10% Owner Director

VP, Admin & Gov't Affairs

X_ Officer (give title

C/O CONNECTICUT WATER SERVICE, INC., 93 WEST MAIN

(Street)

(First)

(Middle)

(Zip)

STREET

(Last)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Issuer

below)

X Form filed by One Reporting Person Form filed by More than One Reporting

CLINTON, CT 06413

1. Title of Security

(City)

(Instr. 3)

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(Instr. 4)

Other (specify

(A) or Code V Amount

736

736

(D) Price

Transaction(s) (Instr. 3 and 4)

41,228 D

Common-restricted

Common-phantom

02/01/2007

02/01/2007

02/01/2007

02/01/2007

A/K

Α

41.964

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

Officer Other Director 10% Owner

WESTBROOK MAUREEN P C/O CONNECTICUT WATER SERVICE, INC. 93 WEST MAIN STREET CLINTON, CT 06413

VP, Admin & Gov't Affairs

Signatures

Signature/s/Michele G. DiAcri, POA for Maureen P. 02/05/2007 Westbrook

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Amount of Securities Beneficially Owned includes outstanding and exercisable stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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