

UROPLASTY INC  
Form 4  
June 12, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOLMAN SUSAN HARTJES**

(Last) (First) (Middle)  
5420 FELTL RD  
(Street)

MINNETONKA, MN 55343

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**UROPLASTY INC [UPI]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/08/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code	V	Amount			
common stock	06/08/2007	06/08/2007	W	1	A	(4)	537,009	D	
common stock	06/08/2007	06/08/2007	G	2,400	D	(5)	\$ 3,9288	D	
common stock	06/08/2007	06/08/2007	U	19,900	D	(1)	\$ 3,8471	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 1.1	06/08/2007	06/08/2007	X		8,000		09/04/2002	09/04/2007	Common Stock	8,000
Stock Options	\$ 1.1	06/08/2007	06/08/2007	X		8,000		09/04/2003	09/04/2007	Common Stock	8,000
Stock Options	\$ 1.1	06/08/2007	06/08/2007	X		8,000		09/04/2004	09/04/2007	Common Stock	8,000
Stock Options	\$ 1.1	06/08/2007	06/08/2007	X		8,000		09/04/2005	09/04/2007	Common Stock	8,000
Stock Options	\$ 1.1	06/08/2007	06/08/2007	X		8,000		09/04/2006	09/04/2007	Common Stock	8,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOLMAN SUSAN HARTJES 5420 FELTL RD MINNETONKA, MN 55343			COO	

## Signatures

Larry Bakeman 06/12/2007

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 339,709 shares and 175,000 shares that Ms. Hartjes Holman may acquire upon the exercise of options that are exercisable within 60 days of June 8, 2007.

## Edgar Filing: UROPLASTY INC - Form 4

- (2) A price is not applicable as this is not the sale of a derivative security but rather the exercise of a derivative security. See the exercise price in column 2.
- (3) Includes 175,000 shares that Ms. Hartjes Holman may acquire upon the exercise of options that are exercisable within 60 days of June 8, 2007.
- (4) A price is not applicable as the securities were acquired by will and the laws of descent and distribution.
- (5) Gifted to the son of Ms. Hartjes Holman.

### **Remarks:**

Signature is on behalf of Ms. Hartjes Holman.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.