Edgar Filing: SMITH MICRO SOFTWARE INC - Form 4

SMITH MIC Form 4 June 14, 201	CRO SOFTWAR	E INC								
FORM								OMB A	PPROVAL	
-	UNITED	STATES		RITIES A			COMMISSION	N OMB Number:	3235-02	87
Check th if no lon subject t Section Form 4 o Form 5	ger o STATEN 16. or Filed pur			SECUI	RITIES		WNERSHIP OF	Estimated burden hou	Expires: January 31, 2005 Estimated average burden hours per response 0.5	
obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the I	Public U	Itility Hol	ding Con		of 1935 or Section	on		
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Arno Andrew		Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol SMITH MICRO SOFTWARE INC				5. Relationship of Reporting Person(s) to Issuer			
			[SMSI]		501°1 W	ARE INC	(Check all applicable)			
(Last) (First) (Middle) 51 COLUMBIA			3. Date of Earliest Transaction (Month/Day/Year) 05/28/2013			X_ Director10% Owner Officer (give titleOther (specify below)below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
ALISO VIE	EJO, CA 92656						Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)		Date, if TransactionAcquired (A) or Code Disposed of (D)		Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code V	Amount	(D) Price	(mou, 5 and +)			
Reminder: Rep	port on a separate line	e for each cla	ass of sec	urities bene	-	-	-			
					inforn requir	nation cont ed to respo ys a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) ⁽¹⁾	\$ 1.31	05/28/2013		A <u>(1)</u>		5,000		05/28/2013 <u>(2)</u>	05/27/2023	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships									
	Director	10% Owner	Officer	Other						
Arno Andrew 51 COLUMBIA ALISO VIEJO, CA 92656	Х									
Signatures										
/s/ Diane Gulling Attorney in Fact for Andrew Arno 06/14/2013										
			00/							
**Signature of Reporting P	erson			Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic grant to non-employee director
- Immediately exercisable. Shares issued on exercise are subject to a right of repurchase by the Company. Shares vest 100%, and the(2) Company's right of repurchase lapses, upon optionee's completion of one year of service as a member of the Board measured from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.