Edgar Filing: ARGAN INC - Form 4

| ARGAN INC Form 4 June 17, 2013 | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| FORM 4 UNITED STATES | S SECURITIES AND EXCHANGE Washington, D.C. 20549 | COMMISSION OMB APPROVAL OMB 3235-0287 Number: | | | | | | |
| Washington, D.C. 20549Number:Check this box if no longer subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires:January 3: 200Statement of Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).Statement of Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940Expires:200 200Statement of Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400. | | | | | | | | |
| (Print or Type Responses) | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> TRUDEL ARTHUR F | 2. Issuer Name and Ticker or Trading Symbol ARGAN INC [AGX] | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | (Check all applicable) | | | | | | |
| 8704 HARNESS TRAIL | (Month/Day/Year) 06/14/2013 | Director 10% Owner X Officer (give title Other (specify below) below) Senior Vice President and CFO | | | | | | |
| (Street) | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | | |
| POTOMAC, MD 20854 | | Form filed by More than One Reporting Person | | | | | | |
| (City) (State) (Zip) | Table I - Non-Derivative Securities Ac | quired, Disposed of, or Beneficially Owned | | | | | | |
| | • | 5. Amount of Securities6. Ownership Form: Direct7. Nature of IndirectBeneficially Owned(D) or Indirect (I)Beneficial OwnershipFollowing Following Transaction(s) (Instr. 3 and 4)(Instr. 4) | | | | | | |
| Common 06/14/2013 Stock | X 4,124 A $\begin{array}{c} \$ \\ 2.15 \end{array}$ | 14,124 D | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ARGAN INC - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number 6. Date Exercisable and nof Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|---------------------|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option to purchase Common Stock | \$ 2.15 | 06/14/2013 | | Х | 4,124 | 04/20/2007 | 04/19/2016 | Common Stock | 4,124 |

Reporting Owners

| Reporting Owner Name / Address | 55 | Relationships | | | |
|--|------------|---------------|-------------------------------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| TRUDEL ARTHUR F 8704 HARNESS TRAIL POTOMAC, MD 20854 | | | Senior Vice President and CFO | | |
| Signatures | | | | | |
| /s/ Arthur F. | 06/14/2013 | | | | |

Trudel <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.