

FORD MOTOR CO  
Form 5  
February 06, 2014

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**Thai-Tang Hau N**  
  
(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol  
**FORD MOTOR CO [F]**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

**ONE AMERICAN ROAD**  
  
(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**12/31/2013**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Group Vice President

**DEARBORN, MI 48126**  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting  
  
(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock, \$0.01 par value	11/04/2013	^	G	360	D	\$ 0	135,178	I	By Spouse as Trustee (1)
Common Stock, \$0.01 par value	^	^	^	^	^	^	3,552	I	By Company Plan
Common	^	^	^	^	^	^	16,110	D	^

Stock,  
\$0.01 par  
value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
					(A) (D)	Date Exercisable	Expiration Date	Title		
BEP Ford Stock Fund Units	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Common Stock, \$0.01 par value	71 (2)
Employee Stock Option (Right to Buy)	\$ 12.49	Â	Â	Â	Â	Â	Â (3)	03/10/2015	Common Stock, \$0.01 par value	25,000 (3)
Employee Stock Option (Right to Buy)	\$ 7.83	Â	Â	Â	Â	Â	Â (4)	03/09/2016	Common Stock, \$0.01 par value	30,000 (4)
Employee Stock Option (Right to Buy)	\$ 7.55	Â	Â	Â	Â	Â	Â (5)	03/04/2017	Common Stock, \$0.01 par value	23,123 (5)
Employee Stock Option (Right to Buy)	\$ 6.14	Â	Â	Â	Â	Â	Â (6)	03/04/2018	Common Stock, \$0.01 par value	33,547 (6)

Employee Stock Option (Right to Buy)	\$ 12.69	Â	Â	Â	Â	Â	Â	(7)	03/02/2020	Common Stock, \$0.01 par value	14,919 (7)
Employee Stock Option (Right to Buy)	\$ 14.76	Â	Â	Â	Â	Â	Â	(8)	03/02/2021	Common Stock, \$0.01 par value	9,734 (8)
Employee Stock Option (Right to Buy)	\$ 12.46	Â	Â	Â	Â	Â	Â	(9)	03/04/2022	Common Stock, \$0.01 par value	37,414 (9)
Employee Stock Option (Right to Buy)	\$ 12.75	Â	Â	Â	Â	Â	Â	(10)	03/03/2023	Common Stock, \$0.01 par value	29,821 (10)
Ford Stock Units	Â	Â	Â	Â	Â	Â	Â	(11)	Â (11)	Common Stock, \$0.01 par value	10,770 (11)
Ford Stock Units	Â	Â	Â	Â	Â	Â	Â	(12)	Â (12)	Common Stock, \$0.01 par value	1,902 (12)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Thai-Tang Hau N ONE AMERICAN ROAD DEARBORN, MI 48126	Â	Â	Â	Group Vice President Â

## Signatures

Jerome F. Zaremba,  
Attorney-in-Fact

02/06/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by my spouse as Trustee.

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- (2) These Ford Stock Fund Units were acquired under the Company's Benefit Equalization Plan. In general, these Ford Stock Fund Units will be converted and distributed to me, without payment, in cash, following termination of employment, based on the then current price of a Ford Stock Fund Unit and the then current market value of a share of Common Stock.
- (3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/11/2005), 66% after two years, and in full after three years.
- (4) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/10/2006), 66% after two years, and in full after three years.
- (5) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2007), 66% after two years, and in full after three years.
- (6) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2008), 66% after two years, and in full after three years.
- (7) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2010), 66% after two years, and in full after three years.
- (8) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- (9) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
- (10) This option is exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (11) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2015.
- (12) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.