SCYNEXIS INC Form 3

FORM 3

May 02, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Ribeill Yves J

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/02/2014

SCYNEXIS INC [SCYX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SCYNEXIS, INC.,, 3501 C TRICENTER BOULEVARD

(Street)

(Check all applicable)

\_X\_ Director 10% Owner \_X\_ Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DURHAM, NCÂ 27713

1. Title of Security

(Instr. 4)

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities

Beneficially Owned

(Instr. 4)

President and CEO

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock 16,975

D

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Title

Derivative Security: Direct (D) Security

1

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Warrant (right to buy)	01/31/2014	01/30/2019	Common Stock	595	\$ 0.2	D	Â
Series D-2 Preferred Stock	12/11/2013	(1)	Common Stock	595 (1)	\$ <u>(1)</u>	D	Â
Stock Option (right to buy)	(2)	10/21/2014	Common Stock	7,352	\$ 20.4	D	Â
Stock Option (right to buy)	(2)	04/27/2015	Common Stock	7,352	\$ 20.4	D	Â
Stock Option (right to buy)	(2)	04/19/2016	Common Stock	931	\$ 20.4	D	Â
Stock Option (right to buy)	(2)	04/25/2017	Common Stock	3,676	\$ 20.4	D	Â
Stock Option (right to buy)	(2)	04/17/2018	Common Stock	2,941	\$ 20.4	D	Â
Stock Option (right to buy)	(2)	04/22/2019	Common Stock	3,676	\$ 25.5	D	Â
Stock Option (right to buy)	(2)	07/14/2020	Common Stock	2,941	\$ 25.91	D	Â
Stock Option (right to buy)	(2)	04/20/2021	Common Stock	1,960	\$ 30.6	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Ribeill Yves J						
C/O SCYNEXIS, INC.,	â v	â	President and CEO	Â		
3501 C TRICENTER BOULEVARD	АЛ	A	A President and CEO	A		
DURHAM, NC 27713						

## **Signatures**

/s/ Eileen Pruette, by power of attorney 05/02/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

**(2)** 

Reporting Owners 2

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The option vests and becomes exercisable with respect to 25% of the total number of underlying shares on each the first and the second anniversary of the vesting commencement date and with respect to the remaining 50% of the underlying shares on the third anniversary of the vesting commencement date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.