Edgar Filing: SCYNEXIS INC - Form 4

Check this box if no longer subject to Section 16. Number: Number: Lanuary 31 Expires: 2005 Estimated average burden hours per								3235-0287 January 31, 2005 average irs per			
(Print or Type R	esponses)										
1. Name and Ad Arthaud Lau	Name and Ticker or Trading 5. Relat Issuer XIS INC [SCYX]				Issuer	nip of Reporting Person(s) to					
			f Earliest Transaction Day/Year)				X Director	Officer (give title Other (specify			
			h/Day/Year) Applicable L _X_ Form fil Form file				Applicable Line) _X_ Form filed by	r Joint/Group Filing(Check) by One Reporting Person by More than One Reporting			
(City)	r ei soli								lly Owned		
1.Title of Security (Instr. 3)	(Month/Day/Year) Exec any	Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if			ties l (A) c l of (D 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common	05/07/2014		Code V X	Amount 34	(D) A	Price \$	3,772	I	By wife		
Stock	05/07/2014		Λ	54	A	0.2	5,112	1	By whe		
Common Stock	05/07/2014		Х	34	А	\$ 0.2	3,806	Ι	By wife		
Common Stock	05/07/2014		Х	222	А	\$ 0.2	4,028	Ι	By wife		
Common Stock	05/07/2014		С	398	А	<u>(1)</u>	4,426	Ι	By wife		
Common Stock	05/07/2014		C	81	А	<u>(2)</u>	4,507	Ι	By wife		

Edgar Filing: SCYNEXIS INC - Form 4

Common
Stock

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

735

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		Х	:	34	12/07/2011	12/06/2016	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	:	34	05/15/2012	05/14/2017	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		Х	2	222	12/11/2013	12/10/2018	Common Stock	222
Series D-1 Preferred Stock	(2)	05/07/2014		C	8,	,127	<u>(1)</u>	<u>(1)</u>	Common Stock	<u>398 (1)</u>
Series D-2 Preferred Stock	(2)	05/07/2014		C	1,	,665	<u>(2)</u>	<u>(2)</u>	Common Stock	81 <u>(2)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Arthaud Laurent C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM,, NC 27713	Х						
Signatures							
/s/ Eileen Pruette, by power of attorney	05/08/2014						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon closing of the Issuer's initial public offering, each share of Series D-1 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.
- (2) Upon closing of the Issuer's initial public offering, each share of Series D-2 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.