

GLOBE SPECIALTY METALS INC

Form 4/A

July 30, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kestenbaum Alan

(Last) (First) (Middle)

C/O GLOBE SPECIALTY  
METALS, INC., 600 BRICKELL  
AVENUE, STE 1500

(Street)

MIAMI, FL 33131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
GLOBE SPECIALTY METALS  
INC [GSM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/21/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)  
07/23/2014

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Executive Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	07/21/2014		S		25,000	D	\$ 20.2687 (1)
Common Stock	07/22/2014		S		71,891	D	\$ 20.9306 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form**

SEC 1474  
(9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kestenbaum Alan C/O GLOBE SPECIALTY METALS, INC. 600 BRICKELL AVENUE, STE 1500 MIAMI, FL 33131	X	X	Executive Chairman	

## Signatures

/s/ Stephen Lebowitz,  
attorney-in-fact

07/30/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The average sales price over several transactions is \$20.2687: 300 @ \$20.12; 900 @ \$20.13; 700 @ \$20.14; 100 @ \$20.145; 1200 @ \$20.15; 1600 @ \$20.16; 900 @ \$20.17; 1400 @ \$20.18; 1700 @ \$20.19; 1700 @ \$20.20; 1100 @ \$20.21; 1300 @ \$20.22; 1300 @ \$20.23; 700 @ \$20.24; 300 @ \$20.26; 600 @ \$20.265; 100 @ \$20.27; 300 @ \$20.28; 100 @ \$20.285; 200 @ \$20.29; 200 @ \$2.295;
- (1) 1100 @ \$20.30; 600 @ \$20.31; 100 @ \$20.315; 900 @ \$20.32; 100 @ \$20.325; 500 @ \$20.33; 600 @ \$20.34; 300 @ \$20.35; 200 @ \$20.38; 100 @ \$20.39; 100 @ \$20.40; 500 @ \$20.42; 100 @ \$20.43; 200 @ \$20.49; 200 @ \$20.50; 100 @ \$20.51; 978 @ \$20.52; 22 @ \$20.53; 100 @ \$20.54; 300 @ \$20.55; 200 @ \$20.57; 100 @ \$20.59; 200 @ \$20.60; 100 @ \$20.61; 100 @ \$20.62; 400 @ \$20.63; 100 @ \$20.65.
- (2) This amendment is being filed to correct the amount of securities beneficially owned following the reported transactions based on an amendment to the information concerning securities sold on 7/11/2014.
- (3) The average sales price over several transactions is \$20.9306: 100 @ \$20.545; 400 @ \$20.56; 300 @ \$20.57; 200 @ \$20.58; 100 @ \$20.60; 200 @ \$20.605; 300 @ \$20.61; 300 @ \$20.62; 200 @ \$20.625; 100 @ \$20.635; 100 @ \$20.64; 300 @ \$20.67; 200 @ \$20.68; 100 @ \$20.69; 700 @ \$20.73; 100 @ \$20.735; 4100 @ \$20.74; 2100 @ \$20.75; 300 @ \$20.755; 2686 @ \$20.76; 700 @ \$20.765; 3845

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@ \$20.77; 100 @ \$20.775; 1797 @ \$20.78; 200 @ \$20.785; 1900 @ \$20.79; 200 @ \$20.795; 1300 @ \$20.80; 300 @ \$20.81; 300 @ \$20.82; 200 @ \$20.83; 600 @ \$20.84; 272 @ \$20.85; 400 @ \$20.86; 7116 @ \$21.00; 4200 @ \$21.005; 5092 @ \$21.01; 4434 @ \$21.015; 6330 @ \$21.02; 1700 @ \$21.025; 1300 @ \$21.03; 6815 @ \$21.04; 1600 @ \$21.045; 3785 @ \$21.05; 100 @ \$21.055; 600 @ \$21.06; 1800 @ \$21.07; 500 @ \$21.075; 1519 @ \$21.08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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