PC TEL INC Form 3 January 07, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Person \* Requiring Statement PC TEL INC [PCTI] A Bacastow Shelley J (Month/Day/Year) 01/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **471 BRIGHTON DRIVE** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person BLOOMINGDALE, ILÂ 60108 (give title below) (specify below) Form filed by More than One VP and General Counsel Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common 11,134 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

### Edgar Filing: PC TEL INC - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Rights	(1)	(1)	Common Stock	8,000	\$ 8.47	D	Â
Stock Options (Right to Buy)	(2)	04/09/2020	Common Stock	12,000	\$ 7.16	D	Â
Stock Options (Right to Buy)	(2)	04/23/2020	Common Stock	2,500	\$ 6.84	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topolong of the Figure 7 that each	Director	10% Owner	Officer	Other		
Bacastow Shelley J 471 BRIGHTON DRIVE BLOOMINGDALE, IL 60108	Â	Â	VP and General Counsel	Â		

Date

### **Signatures**

\*\*Signature of Reporting Person

by Michelle Henry, Atty-in-Fact 01/07/2015

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share award under the issuer's Long-Term Incentive Plan for 2014. Refer to page 5 of the 8K filed March 10, 2014 under the heading "Adoption of 2014 Long-Term Incentive Plan" for details related to the vesting of the award.
- (2) The performance-based restricted stock options will vest in four equal annual installments. The initial vesting on April 15, 2014 and then annually concluding on February 11, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2