**ARGAN INC** Form 4 January 16, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

117 ROGER SMITH

(Last)

1. Name and Address of Reporting Person \* TRUDEL ARTHUR F

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

ARGAN INC [AGX]

3. Date of Earliest Transaction

(Month/Day/Year)

01/14/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Former Senior VP and CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### WILLIAMSBURG, VA 23185

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-D                             | erivative | Secui            | rities Acq  | uired, Disposed o  | f, or Beneficial  | ly Owned |
|--------------------------------------|---|---|---|-----------|------------------|-------------|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | tr. 8)    |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V                                  | Amount    | (A)<br>or<br>(D) | Price       | Transaction(s) (Instr. 3 and 4)  |   |          |
| Common<br>Stock                      | 01/14/2015                              |   | S                                       | 2,296     | D                | \$<br>31.45 | 14,587   | D   |          |
| Common<br>Stock                      | 01/14/2015                              |   | S                                       | 100       | D                | \$<br>31.47 | 14,487   | D   |          |
| Common<br>Stock                      | 01/14/2015                              |   | S                                       | 3,000     | D                | \$<br>31.52 | 11,487   | D   |          |
| Common<br>Stock                      | 01/14/2015                              |   | S                                       | 300       | D                | \$<br>31.53 | 11,187   | D   |          |
| Common<br>Stock                      | 01/14/2015                              |   | S                                       | 170       | D                | \$<br>31.57 | 11,017   | D   |          |

### Edgar Filing: ARGAN INC - Form 4

| Common<br>Stock | 01/14/2015 | S | 200   | D | \$<br>31.58 | 10,817 | D |
|-----------------|------------|---|-------|---|-------------|--------|---|
| Common<br>Stock | 01/14/2015 | S | 200   | D | \$<br>31.59 | 10,617 | D |
| Common<br>Stock | 01/14/2015 | S | 617   | D | \$<br>31.51 | 10,000 | D |
| Common<br>Stock | 01/15/2015 | X | 5,000 | A | \$<br>12.21 | 15,000 | D |
| Common<br>Stock | 01/15/2015 | X | 5,000 | A | \$<br>13.64 | 20,000 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number stiom Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|-------|--|-----------------|---|--|
|   |   |                                      |   | Code V                                 | (A)   | (D)   | Date<br>Exercisable                                      | Expiration Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option to<br>purchase<br>Common<br>Stock   | \$ 12.21  | 01/15/2015                           |   | X                                      |   | 5,000 | 02/25/2010   | 02/25/2019      | Common<br>Stock   | 5,000                                  |
| Stock<br>Option to<br>purchase<br>Common<br>Stock   | \$ 13.64  | 01/15/2015                           |   | X                                      |   | 5,000 | 04/06/2011   | 04/06/2020      | Common<br>Stock   | 5,000                                  |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

2 Reporting Owners

#### Edgar Filing: ARGAN INC - Form 4

Director 10% Owner Officer Other

TRUDEL ARTHUR F 117 ROGER SMITH WILLIAMSBURG, VA 23185

Former Senior VP and CFO

## **Signatures**

/s/ Arthur F. 01/16/2015 Trudel

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3