## Edgar Filing: Gevo, Inc. - Form 4

Gevo, Inc.

| Form 4<br>March 19 20  | 015                                 |       |  |   |               |  |   |   |   |                          |  |
|--|-------------------------------------|-------|--|---|---------------|--|---|---|---|--------------------------|--|
| March 19, 2015<br><b>FORM 4</b><br>Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>March 19, 2015<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP (<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 193<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Security 30(h) of the Investment Company Act of 1940<br>1(b). |                                     |       |  |   |               | ERSHIP OF<br>Act of 1934,<br>1935 or Sectior | Simple3235-028Number:January 31Expires:200Estimated averageburden hours perresponse0. |   |   |                          |  |
| (Print or Type I   | Responses)                          |       |  |   |               |  |   |   |   |                          |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Lund Brett   |                                     |       | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Gevo, Inc. [GEVO] |   |               |  |   | 5. Relationship of Reporting Person(s) to<br>Issuer   |   |                          |  |
| (Last) (First) (Middle)<br>GEVO, INC., 345 INVERNESS<br>DRIVE SOUTH, BUILDING C,<br>SUITE 310  |                                     |       | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/13/2015          |   |               |  |   | (Check all applicable)<br><u></u> Director <u></u> 10% Owner<br><u></u> X Officer (give title <u></u> Other (specify<br>below)<br>EVP, GC and Secretary |   |                          |  |
|  |                                     |       |  | nendment, Date Original<br>onth/Day/Year)   |               |  |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting        |   |                          |  |
| (City)   | (State)                             | (Zip) | Tab  | e I - Non-I   | Derivative    | Secu   |   | Person<br>uired, Disposed of  | or Beneficial   | v Owned                  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Da<br>(Month/Day/Yea |       | ned<br>n Date, if  | 3. 4. Securities Acquired<br>Transactior(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A) |               |  | cquired<br>d of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)  | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect |  |
| Common<br>Stock  | 03/13/2015                          |       |  | Code V<br>S <u>(1)</u>  | Amount<br>619 | or<br>(D)<br>D                               | Price<br>\$<br>0.2448<br>(2)  | (Instr. 3 and 4)<br>70,924  | D   |                          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                       |       |  |  |
|---|---------------|-----------|-----------------------|-------|--|--|
|   | Director      | 10% Owner | Officer               | Other |  |  |
| Lund Brett<br>GEVO, INC., 345 INVERNESS DRIVE SOUTH<br>BUILDING C, SUITE 310<br>ENGLEWOOD, CO 80112 |               |           | EVP, GC and Secretary |       |  |  |
| Signatures  |               |           |                       |       |  |  |

/s/ Brett Lund 03/13/2015

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold to satisfy certain tax obligations of the reporting person triggered by the vesting of such restricted stock shares. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted May 16, 2013.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$.2311 to \$.2449, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the

(2) to \$2,2447, inclusive: The reporting person undertakes to provide to Gevo, inc., any security holder of Gevo, inc., of the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.