

SHERWIN WILLIAMS CO

Form 3

November 03, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â CRONIN JANE M.

(Last) (First) (Middle)

101 W. PROSPECT AVENUE

(Street)

CLEVELAND,Â OHÂ 44115

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/25/2016

3. Issuer Name and Ticker or Trading Symbol  
SHERWIN WILLIAMS CO [SHW]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP - Corporate Controller

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

4,814 <sup>(1)</sup>

D

Â

Common Stock

2,198.68 <sup>(2)</sup>

I

Stock Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Edgar Filing: SHERWIN WILLIAMS CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock Units	Â (3)	Â (3)	Common Stock	93.78	\$ 0	D	Â
Employee Stock Option (Right to Buy)	10/19/2012	10/18/2021	Common Stock	697	\$ 78.255	D	Â
Employee Stock Option (Right to Buy)	10/19/2013	10/18/2021	Common Stock	191	\$ 78.255	D	Â
Employee Stock Option (Right to Buy)	10/17/2013	10/16/2022	Common Stock	489	\$ 154.4325	D	Â
Employee Stock Option (Right to Buy)	10/17/2014	10/16/2022	Common Stock	194	\$ 154.4325	D	Â
Employee Stock Option (Right to Buy)	10/16/2014	10/15/2023	Common Stock	540	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/16/2015	10/15/2023	Common Stock	404	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/16/2016	10/15/2023	Common Stock	540	\$ 180.46	D	Â
Employee Stock Option (Right to Buy)	10/22/2015	10/21/2024	Common Stock	340	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/22/2016	10/21/2024	Common Stock	340	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/22/2017	10/21/2024	Common Stock	340	\$ 227.73	D	Â
Employee Stock Option (Right to Buy)	10/16/2016	10/15/2025	Common Stock	340	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/16/2017	10/15/2025	Common Stock	340	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/16/2018	10/15/2025	Common Stock	340	\$ 239.55	D	Â
Employee Stock Option (Right to Buy)	10/24/2017	10/23/2026	Common Stock	1,000	\$ 277.65	D	Â
Employee Stock Option (Right to Buy)	10/24/2018	10/23/2026	Common Stock	1,000	\$ 277.65	D	Â
Employee Stock Option (Right to Buy)	10/24/2019	10/23/2026	Common Stock	1,000	\$ 277.65	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

Reporting Owners

Edgar Filing: SHERWIN WILLIAMS CO - Form 3

Director 10% Owner Officer Other

CRONIN JANE M.

101 W. PROSPECT AVENUE             SVP - Corporate Controller     
CLEVELAND, OH 44115

## Signatures

Catherine M. Kilbane,  
Attorney-in-fact

11/03/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of shares listed, 960 are restricted stock and 160 are restricted stock units.
- (2) Represents the number of shares of common stock attributable to the reporting person's participation in The Sherwin-Williams Company Employee Stock Purchase and Savings Plan per the trustee's 10/24/2016 statement.  
  
Represents the number of phantom stock units that were acquired by the reporting person (in exempt transactions) under The Sherwin-Williams Company Deferred Compensation Savings and Pension Equalization Plan. Each phantom stock unit is the economic
- (3) equivalent of one share of common stock. The phantom stock units become payable in cash generally upon the reporting person's retirement or other termination of service. The phantom stock units have dividend equivalent rights.

Â

### Remarks:

ExhibitÂ 24,Â PowerÂ ofÂ Attorney,Â isÂ attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.