Edgar Filing: Celgene Alpine Investment Co., LLC - Form 4

Celgene Alpine Investment Co., LLC Form 4 January 23, 2018

January 25, 2	2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Check this box							Number:	3235-0287			
if no long subject to Section 1 Form 4 o	G. STATEM	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated a burden hour response	urs per	
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940											
(Print or Type F	Responses)										
CELGENE CORP /DE/ Symbol			er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
AGIOS [AGIO]				PHARMACEUTICALS INC				(Check all applicable)			
(Last) 86 MORRIS		(liddle)	3. Date of (Month/D 01/23/20	-	ansaction			Director Officer (give below)	title $X_10\%$ title M_2 Other below)	Owner r (specify	
	(Street)			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) Form filed by O _X_ Form filed by M	ne Reporting Per	son	
SUMMIT, N								Person		1 0	
(City)	(State) ((Zip)	Table	e I - Non-D	erivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	4. Securitie r(A) or Disp (Instr. 3, 4 a	osed o		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount		Price	(Instr. 3 and 4)			
Stock	01/23/2018			Р	851,154	А	\$ 67	1,374,599	D		
Common Stock								5,343,834	I	See footnote (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director 10% Owner Officer Other						
CELGENE CORP /DE/ 86 MORRIS AVENUE SUMMIT, NJ 07901	Х						
Celgene Switzerland LLC AON HOUSE 30 WOODBOURNE AVENUE PEMBROKE, D0 HM 08	Х						
Celgene Alpine Investment Co., LLC 86 MORRIS AVENUE SUMMIT, NJ 07901	Х						
Celgene European Investment Co LLC 86 MORRIS AVENUE SUMMIT, NJ 07901	Х						
Signatures							
/s/Peter N. Kellogg, Executive Vice President and Chief Financial Officer, Celgene Corporation							
<u>**</u> Signat	Date						
/s/ Kevin Mello, Manager, Celgene Swi	01/23/2018						
<u>**</u> Signature of Reporting Person							
/s/ Kevin Mello, Manager, Celgene Alp	01/23/2018						
**Signature of Reporting Person							
/s/ Kevin Mello, Manager, Celgene Eur	01/23/2018						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Of these shares, 624,575 shares are owned directly by Celgene Switzerland LLC, 708,333 shares are owned directly by Celgene Alpine Investment Co. LLC, and 4,010,926 shares are owned directly by Celgene European Investment Company LLC, each a wholly-owned

(1) Investment Co. ELC, and 4,010,920 shares are owned uncerty by Ceigene European investment Company ELC, each a whony-owned subsidiary of Ceigene Corporation ("Ceigene"), and Ceigene has the power to vote, acquire, hold and dispose of all such shares. Ceigene disclaims beneficial ownership of such securities except to the extent of its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.