

KOECK HERBERT
Form 3
January 14, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|-------------------------------------------|---------|--------------------------------------|----------------------------------------------------|------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â KOECK HERBERT | | (Month/Day/Year) | 3D SYSTEMS CORP [DDD] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 01/14/2019 | | |
| 333 THREE D SYSTEMS CIRCLE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| ROCK HILL,Â SCÂ 29730 | | | (give title below) | (specify below) |
| (City) | (State) | (Zip) | EVP, Global Go To Market | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| Common Stock | 35,689 | D | Â |
| Common Stock | 39,471 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|------------------------------------|---------------------------------|-------------------------------------------------------|
|--------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------|------------------------------------|---------------------------------|-------------------------------------------------------|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|-------------------------------------------------|---|
| Performance Based Restricted Stock Units | Â (2) | Â (2) | Common Stock | 15,000 (2) | \$ (2) | D | Â |
| Performance Based Restricted Stock Units | Â (3) | Â (3) | Common Stock | 15,000 (3) | \$ (3) | D | Â |
| Performance Based Stock Options (Right to Buy) | Â (4) | 09/06/2026 | Common Stock | 70,000 (4) | \$ 15.75 | D | Â |
| Performance Based Stock Options (Right to Buy) | Â (5) | 09/06/2026 | Common Stock | 70,000 (5) | \$ 15.75 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|----------------------------------------------------------------------|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| KOECK HERBERT 333 THREE D SYSTEMS CIRCLE ROCK HILL,Â SCÂ 29730 | Â | Â | Â EVP, Global Go To Market | Â |

Signatures

/s/ Andrew M. Johnson,
Attorney-in_Fact

01/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person has been awarded 39,471 restricted stock units under the Issuer's 2015 Incentive Plan. These units will convert into an equal number of common stock shares upon vesting. 11,403 restricted stock units vest on August 15, 2019; 16,666 restricted stock units vest on September 6, 2019; and 11,402 restricted stock units vest on August 15, 2020.
- (2) The Reporting Person has been awarded 15,000 restricted stock units under the Issuer's 2015 Incentive Plan. These restricted stock units convert into an equal number of common stock shares upon vesting. These restricted stock units vest, if at all, on the later date that is six months after the date of grant and the date that the closing price of a share of the common stock on each trading day during the immediately prior ninety consecutive calendar days is at least \$30.
- (3) The Reporting Person has been awarded 15,000 restricted stock units under the Issuer's 2015 Incentive Plan. These restricted stock units convert into an equal number of common stock shares upon vesting. These restricted stock units vest, if at all, on the later date that is six months after the date of grant and the date that the closing price of a share of the common stock on each trading day during the immediately prior ninety consecutive calendar days is at least \$40.
- (4) The Reporting Person has been awarded 70,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of common stock at an exercise price equal to the closing price of the common stock on September 6, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$30.
- (5) The Reporting Person has been awarded 70,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of common stock at an exercise price equal to the closing price of the common stock on September 6, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$40.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.