

COLUMBUS MCKINNON CORP

Form 5

May 14, 2010

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).

Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
HOWARD KAREN L

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

AMHERST, NY 14228

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
03/31/2010

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
VP-Finance & CFO

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	46,357 <u>(1)</u>	D	Â
Common Stock	03/31/2010	Â	A	158	A	\$ 0	2,650 <u>(2)</u>	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	736,265	I	Additional shares held by ESOP;

reporting
person is 1 of
2 trustees;
DISCLAIMS
beneficial
ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10	Â	Â	Â	Â	Â	08/20/2005	08/19/2011	Common Stock	40,5
Non-Qualified Stock Options (Right to Buy)	\$ 10	Â	Â	Â	Â	Â	08/20/2005	08/19/2011	Common Stock	4,5
Incentive Stock Options (Right to Buy)	\$ 5.46	Â	Â	Â	Â	Â	05/17/2005	05/16/2014	Common Stock	20,0
Non-Qualified Stock Options (Right to Buy)	\$ 28.45	Â	Â	Â	Â	Â	05/19/2009	05/18/2018	Common Stock	3,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	Â	Â	Â	Â	Â	05/16/2010	05/17/2019	Common Stock	11,0

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HOWARD KAREN L

140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228

Â

Â

Â VP-Finance
& CFO Â

Signatures

Karen L.
Howard

05/14/2010

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,784 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term

- (1) Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; shares become fully vested and non-forfeitable 33.33% on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013; if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP"), including 130 allocated to ESOP as of March 31, 2010 and 28 as fractional share adjustment.
- (3) All exercisable, subject to IRS limitations.
- (4) Exercisable 25% per year for four years, beginning 5/19/09, subject to IRS limitations.

Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive

- (5) Plan dated as of May 4, 2006; options become exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.