

ROCKWELL AUTOMATION INC  
Form 4  
February 07, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HALL MARY JANE

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL AUTOMATION INC [ROK]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
1201 SOUTH SECOND STREET  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/05/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
Sr. VP, HR

MILWAUKEE, WI 53204

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					1,880.26	I	By Savings Plan <sup>(1)</sup>
Common Stock	02/05/2007		M		12,134	A	\$ 27.75 40,669
Common Stock	02/05/2007		M		2,979	A	\$ 27.75 43,648
Common Stock	02/05/2007		S		1,200	D	\$ 62.06 42,448
Common Stock	02/05/2007		S		2,400	D	\$ 62.05 40,048

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Common Stock	02/05/2007	S	500	D	\$ 62.03	39,548	D
Common Stock	02/05/2007	S	1,200	D	\$ 62.02	38,348	D
Common Stock	02/05/2007	S	2,900	D	\$ 62.01	35,448	D
Common Stock	02/05/2007	S	1,100	D	\$ 61.99	34,348	D
Common Stock	02/05/2007	S	1,300	D	\$ 61.98	33,048	D
Common Stock	02/05/2007	S	600	D	\$ 61.96	32,448	D
Common Stock	02/05/2007	S	934	D	\$ 61.95	31,514	D
Common Stock	02/05/2007	S	2,400	D	\$ 61.9	29,114	D
Common Stock	02/05/2007	S	600	D	\$ 61.93	28,514	D
Common Stock	02/05/2007	S	300	D	\$ 61.95	28,214	D
Common Stock	02/05/2007	S	400	D	\$ 61.94	27,814	D
Common Stock	02/05/2007	S	300	D	\$ 61.92	27,514	D
Common Stock	02/05/2007	S	2,200 (2)	D	\$ 61.91	25,314	D
Common Stock	02/05/2007	F(3)	1,333	D	\$ 61.97	23,981	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)		\$ 27.75	02/05/2007	M	12,134	10/06/2004 10/06/2013	Common Stock	12,134
Employee Stock Option (Right to Buy)		\$ 27.75	02/05/2007	M	2,979	10/06/2004 10/06/2013	Common Stock	2,979

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HALL MARY JANE 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. VP, HR	

## Signatures

Karen A. Balistreri, Attorney-in-Fact for Mary  
Jane Hall

02/07/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares represented by Company stock fund units acquired under the Company Savings Plan since the date of the last ownership report for this person, based on information furnished by the Plan Administrator as of 12/31/2006.
- (2) 1,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) Payment of option exercise price by delivery of already-owned shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.