

HORACE MANN EDUCATORS CORP /DE/

Form 4

December 10, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HECKMAN PETER H

2. Issuer Name **and** Ticker or Trading
Symbol
**HORACE MANN EDUCATORS
CORP /DE/ [HMN]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1 HORACE MANN PLAZA

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/06/2013

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

Former President and CEO

SPRINGFIELD, IL 62715

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/06/2013		M	5,873 A	\$ 6.91 124,609.011 (1)	D	
Common Stock	12/06/2013		S	5,873 D	\$ 30.765 118,736.011 (2)	D	
Common Stock	12/06/2013		M	8,624 A	\$ 13.83 127,360.011 (4)	D	
Common Stock	12/06/2013		S	8,624 D	\$ 30.846 118,736.011 (5)	D	
	12/09/2013		M	1,028 A	\$ 16.81	D	

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Common Stock						119,764.011	
						<u>(6)</u>	
Common Stock	12/09/2013	S	1,028	D	\$ 30.662	118,736.011	D
					<u>(7)</u>	<u>(3)</u>	
Common Stock	12/09/2013	M	14,755	A	\$ 13.83	133,491.011	D
						<u>(8)</u>	
Common Stock	12/09/2013	S	14,755	D	\$ 30.722	118,736.011	D
					<u>(9)</u>	<u>(3)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 6.91	12/06/2013		M	5,873	03/04/2012 03/04/2016	Common Stock 5,873
Employee Stock Option (right to buy)	\$ 13.83	12/06/2013		M	8,624	03/03/2012 03/03/2017	Common Stock 8,624
Employee Stock Option (right to buy)	\$ 16.81	12/09/2013		M	1,028	03/05/2009 03/05/2015	Common Stock 1,028
	\$ 13.83	12/09/2013		M	14,755	03/03/2013 03/03/2017	14,755

Employee
Stock
Option
(right to
buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
HECKMAN PETER H 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715	Former President and CEO

Signatures

Linea K. Michael, Attorney in Fact for Peter H.
Heckman

12/10/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 17,573.320 shares of Common Stock.
 - (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.71 to \$30.86.
 - (3) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 11,700.320 shares of Common Stock.
 - (4) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 20,324.320 shares of Common Stock.
 - (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.71 to \$31.12.
 - (6) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 12,728.320 shares of Common Stock.
 - (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.65 to \$30.68.
 - (8) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 26,455.320 shares of Common Stock.
 - (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.68 to \$30.79.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.