#### Edgar Filing: HORACE MANN EDUCATORS CORP /DE/ - Form 4

#### HORACE MANN EDUCATORS CORP /DE/

Form 4 March 07, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **CONKLIN BRET A** Issuer Symbol HORACE MANN EDUCATORS (Check all applicable) CORP /DE/ [HMN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 1 HORACE MANN PLAZA 03/05/2014 SVP & Controller (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SPRINGFIELD, IL 62715 Person

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities approximately 2. Securities approximately 2. Securities 2.	(A)		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock (1)	03/05/2014		A	8,088.264	A	\$0	51,198.488 (2)	D	
Common Stock (3)	03/05/2014		A	1,212	A	\$0	52,410.488 (4)	D	
Common Stock							2,573.059 (5)	I	0 (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (right to buy)	\$ 28.88	03/05/2014		A	5,828	08/08/1988(6)	03/05/2024	Common Stock	5,828

# **Reporting Owners**

Director 10% Owner Officer Other

CONKLIN BRET A 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715

SVP & Controller

## **Signatures**

Ann M. Caparros Attorney in Fact for Bret A. Conklin

03/07/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The acquisition of Common Stock reported hereby is in the form of restricted stock units which will vest in two equal annual installments beginning December 31, 2014.
- (2) Represents 40,828.806 restricted stock units, 8,105.313 deferred Common Stock equivalent units and 2,264.369 shares of Common Stock.
- (3) The acquisition of Common Stock reported hereby is in the form of restricted stock units which will vest in three equal annual installments beginning March 5, 2017.
- (4) Represents 42,040.806 restricted stock units, 8,105.313 deferred Common Stock equivalent units and 2,264.369 shares of Common Stock.

Reporting Owners 2

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- (5) Held by the Horace Mann Supplemental Retirement & Savings Trust (401(K)).
- (6) The option vests in four equal annual installments beginning on March 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.