

KVH INDUSTRIES INC \DE\  
Form 4  
December 02, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AIN MARK

2. Issuer Name and Ticker or Trading Symbol  
KVH INDUSTRIES INC \DE\  
[KVHI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

KVH INDUSTRIES, INC., 50  
ENTERPRISE CENTER

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/26/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)

MIDDLETOWN, RI 02842

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |            |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--------|---|--|-----------------------------------|------------|-------|
|                                 |                                      |  |                                | Code  | V | Amount |   |  |                                   | (A) or (D) | Price |
| Common Stock                    | 11/26/2013                           |  | J <sup>(1)</sup>               |   |   | 982    | A   | \$ 4.25  | 94,228                            | D          |       |
| Common Stock                    | 11/26/2013                           |  | S                              |   |   | 982    | D   | \$ 13.95   | 93,246                            | D          |       |
| Common Stock                    | 11/27/2013                           |  | J <sup>(1)</sup>               |   |   | 2,242  | A   | \$ 4.25  | 95,488                            | D          |       |
| Common Stock                    | 11/27/2013                           |  | S                              |   |   | 2,242  | D   | \$ 13.95   | 93,246                            | D          |       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Director Stock Options-Right to Buy        | \$ 4.25  | 11/26/2013                           |  | J <sup>(2)</sup>               | 982   | 12/12/2008 12/12/2013                                    | Common Stock  | 98                            |
| Director Stock Options-Right to Buy        | \$ 4.25  | 11/27/2013                           |  | J <sup>(2)</sup>               | 2,242   | 12/12/2008 12/12/2013                                    | Common Stock  | 2,242                         |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| AIN MARK<br>KVH INDUSTRIES, INC.<br>50 ENTERPRISE CENTER<br>MIDDLETOWN, RI 02842 | X             |           |         |       |

## Signatures

/s/ Mark S. Ain                      12/02/2013  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired via the exercise of stock options issued pursuant to the terms and conditions of the company's 2006 Stock Incentive Plan.
- (2) Exercise of expiring stock options issued pursuant to the terms and conditions of the company's 2006 Stock Incentive Plan.
- (3) Represents total vested/unexercised options "beneficially owned".

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