## Edgar Filing: FRMO CORP - Form 4

Form 4 October 19, 2009 FORM 4 UNITED STATES SECURITIES AND EXCHANGE OMMISSION Washington, D.C. 20549 The longer subject to SCOUNTIES STATEMENT OF CHANGES IN BENEFICAL OWNERSHIP OF Section 16. STATEMENT OF CHANGES IN BENEFICAL OWNERSHIP OF Form 4 or Form 4 or form 5 social interval Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). (Print or Type Response.) 1. Name and Address of Reporting Person 2 (Inst. 3) (Kareel) LARCHMONT, NY 10538 (Gaune 2 (Cappone 2 (Month/Day/Year) (Cappone 2 (Cappone 2 (C	FRMO COF	RP										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL OMB Section 16.       OMB 2325-0281         Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIPOT SECURITIES       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIPOT SECURITIES       Statement Section 16.       Statement Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 16.       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 17(a) of the Public Utility Holding Company Act of 1940       Statement Section 12(C)       Statement Sec		• • • •										
Check this box if no longer subject of Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Small Section 16. SecURITIES       Small Section 16. SecURITIES       Small Section 16. SecURITIES       Small Section 16. SecURITIES       Small Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       Small Section 17(a) of the Public Utility Mole Section 17(a) of the Public Utility Mole Act of 1940 1(b).       Small Section 17(a) of the Public Utility Mole Section 17(a) of the Public Utility Mole Act of 1940 1(b).       Small Section 17(a) of the Public Utility Mole Section 17(a) of the Public Utility Mole Act of 1940 1(b).       Small Section 19(a) Of the Public Utility Mole Act of 1940 1(b).	October 19,	2009							<u></u>			
Check this box if no longer subject to Statement of the securities is been been been been been been been bee	FORM 4									PPROVAL		
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person <sup>±</sup> Goldstein Lawrence J Symbol FRMO CORP [FRMO] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) LARCHMONT, NY 10538 (City) (State) (Zip) LARCHMONT, NY 10538 (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired S. Amount of Securities Acquired S. Amount of Securities Form: Direct Indirect in (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired S. Amount of Securities Form: Direct Indirect in (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 4) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) and 4) FRMO (D(19/2009) P 7,500 A <sup>§</sup> 2,722,669 D	UNITED STATES SECURITIES AND EXCHANGE COMMISSION								ONID	3235-0287		
ablect of subject of Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Estimated average burden hours per response         Form 4 or biligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       S. Relationship of Reporting Person()       0.5         (Print or Type Responses)       I. Name and Address of Reporting Person 1 Goldstein Lawrence J Symbol       2. Issuer Name and Ticker or Trading FRMO CORP [FRMO]       S. Relationship of Reporting Person(s) to Issuer       Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)       — (Check all applicable)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)       — (Check all applicable Line)       — (Check all applicable Line)         LARCHMONT, NY 10538       (Zip)       Table I - Non-Derivative Securities Acquired       5. Amount of Security (Month/Day/Year)       6. Ownership 7. Nature of Securities Acquired (Disposed of or Beneficially Owned (Instr. 4)       6. Ownership 7. Nature of Transaction(s) (Instr. 4)       6. Ownership 7. Nature of Transaction(s)         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired (Disposed of Code (V) Amount (D)       5. Amount o									Expires:	•		
obligations may continue. Section 17(a) of the Public Ultility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       1935 or Section 30(h) of the Investment Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person 1 (b).       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Itast)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filingt/Check Applicable Line) X. Form filed by More Reporting Person Whore than One Reporting Person Verson         (City)       (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filingt/Check Applicable Line) X. Form filed by More Reporting Person Person         (City)       (Street)       2. Deemed       3.       4. Securities Acquired S. Amount of Code       6. Month/Day/Year)         (City)       (Street)       2. Deemed       3.       4. Securities Acquired S. Amount of Code       6. Omership Yom or bino One Reporting Person Person         (City)       (Month/Day/Year)       3.       4. Securities Acquired S. Amount of Code       6. Omership Yom or bino One Reporting Person       6. Omership Yom or binet       7. Nature of Security         (Instr. 3)       (Month/Day/Year)       3.       4. Securities Acq	subject t Section Form 4	16. <b>SIAIEN</b>						Estimated average burden hours per				
1. Name and Address of Reporting Person	obligatio may con <i>See</i> Instr	ons Section 17(	(a) of the Pu	blic Utility Hol	ding Co	mpan	y Act	of 1935 or Section	on			
Goldstein Lawrence JSymbol FRMO CORP [FRMO]Issuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)1865 PALMER AVE 108 $06/20/2006$ $-X_{-}$ Director below) $-M_{-}$ Officer (give title $-10\%$ Owner below)(Street)4. If Amendment, Date Original Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line) .X_Form filed by More than One Reporting Person -Form filed by More than One Reporting PersonLARCHMONT, NY 10538 $(Ciry)$ (Zip) $Table I \cdot Non-brivative Securities AcquiredCode(Instr. 3)5. Amount ofSecurity6. OwnershipOfficer (give title diversion)(Month/Day/Year)7. Nature ofIndirect(Instr. 3)1. Title ofSecurity2. Transaction Date(Month/Day/Year)3.4. Securities Acquired(Instr. 3, 4 and 5)5. Amount ofSecurities(Instr. 3, 4 and 5)6. Ownership(Instr. 3, and 4)FRMOCorp.10/16/2009P2, 500, A\$2, 2500, A\$2, 725, 169 (1)D$	(Print or Type	Responses)										
(Last)(First)(Middle)3. Date of Earliest Transaction $IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII$				Symbol				Issuer				
1865 PALMER AVE 108       06/20/2006      Officer (give titleOther (specify below)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)	(Last)	(First) (	Middle) 3.	. Date of Earliest T	ransaction			(Che	ck all applicabl	c)		
Filed(Month/Day/Year)       Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of Security       Status (Month/Day/Year)       Status (Month/Da								Officer (give	rive title Other (specify			
LARCHMONT, NY 10538 $- Form filed by More than One Reporting Person(City)(State)(Zip)Table I - Non-Derivative Securities Acquired, Disposed of Security (Instr. 3)Securities Acquired (Month/Day/Year)Securities Acquired Execution Date, if any (Month/Day/Year)Securities Acquired Execution Date, if any (Month/Day/Year)Securities Acquired Transaction(A) or Disposed of Code (D)Securities BeneficiallyOwnership Form: Direct (D) orTotalter of Securities Beneficially1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)3. 4. Securities Acquired Transaction(A) or Disposed of Code (D)5. Amount of Securities Beneficially6. Ownership Form: Direct (D) or7. Nature of Indirect Beneficial(Month/Day/Year)(Month/Day/Year)(Month/Day/Year)0. Code (D)(Instr. 3, 4 and 5)0. Owneed Following Reported Transaction(s) (Instr. 4)6. Ownership (Instr. 4)7. Nature of Indirect (B) Owneed Following Reported Transaction(s)FRMO Corp.10/16/2009P7,500A$ 2.012,722,669DFRMO10/19/2009PP2,500A$ 2.725,169 (I)D$				-				Applicable Line)				
1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transaction(A) or Disposed of Code (D)       5. Amount of Securities Beneficially (D) or Indirect (I) (D) or Indirect (I) (D) or Indirect (I) (Instr. 4)       6. Ownership Form: Direct (D) ownership (Instr. 4)         FRMO Corp.       10/16/2009       P       7,500       A       \$ 2,722,669       D         FRMO Low 10/19/2009       P       2,500       A       \$ 2,725,169 (I)       D	LARCHM	ONT, NY 10538						Form filed by				
1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8)       5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)       6. Ownership (D) or Indirect (I) (Instr. 4)         FRMO Corp.       10/16/2009       P       7.500       A       \$2.01       2.725.169 (I)       D         FRMO       10/19/2009       P       2.500       A       \$2.725.169 (I)       D	(City)	(State)	(Zip)	Table I - Non-I	Derivative	Secu	rities A	cquired, Disposed o	of, or Beneficia	lly Owned		
FRMO Corp.       10/16/2009       P       7,500       A       \$ 2,722,669       D         FRMO       10/19/2009       P       2,500       A       \$ 2,725,169 (1)       D	Security		ansaction Date 2A. Deemed tth/Day/Year) Execution Date, if any		f Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
		10/16/2009					\$	2,722,669	D			
		10/19/2009		Р	2,500	А		2,725,169 (1)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: FRMO CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amoun Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addre	Relationships						
	Director	10% Owner	Officer	Other			
Goldstein Lawrence J 1865 PALMER AVE 108 LARCHMONT, NY 10538	X						
Signatures							
Lawrence J. Goldstein	10/19/2009						

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Ownership Breakdown: Lawrence J. Goldstein beneficially owns directly 2,000,000 shares in his name and 2,500 shares in the name of Lawrence J. Goldstein, IRA. As President of SMP Asset Management, LLC, which is General Partner of Santa Monica Partners, L.P., and

(1) of Santa Monica Partners Asset Management, LLC, which is a General Partner of Santa Monica Partners II, L.P., Mr. Goldstein beneficially owns indirectly 690,960 shares and 28,709 shares, respectively. Mr. Goldstein disclaims beneficial ownership of 3,000 shares held of record by the Barbara J. & Lawrence J. Goldstein Do Good Foundation, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.