

CHING GEORGE T M

Form 4

December 01, 2004

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHING GEORGE T M

2. Issuer Name **and** Ticker or Trading
Symbol
CATHAY GENERAL BANCORP
[CATY]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

777 NORTH BROADWAY

(Street)

LOS ANGELES, CA 90012

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2004

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/29/2004		G	V	4,400	D	\$ 0	21,896 ⁽¹⁾	D
Common Stock								310,372 ⁽¹⁾	I
Common Stock								13,702.35 ⁽¹⁾ ⁽²⁾	I
									Family Trust
									By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De Se (In
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 8.25					09/17/2003 ⁽³⁾ 09/17/2008	Common Stock	400
Stock Option	\$ 10.625					01/20/2004 ⁽⁴⁾ 01/20/2010	Common Stock	800
Stock Option	\$ 15.0475					01/18/2004 ⁽⁵⁾ 01/18/2011	Common Stock	1,200
Stock Option	\$ 16.275					02/21/2004 ⁽⁶⁾ 02/21/2012	Common Stock	1,600
Stock Option	\$ 19.925					01/16/2004 ⁽⁷⁾ 01/16/2013	Common Stock	3,800
Stock Option	\$ 24.8					11/20/2004 ⁽⁸⁾ 11/20/2013	Common Stock	11,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHING GEORGE T M 777 NORTH BROADWAY LOS ANGELES, CA 90012	X			

Signatures

George T.M.
Ching

12/01/2004

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares increased via a 2-for-1 split on 9/28/04.
- (2) Includes 73.69 shares acquired through dividend reinvestment year-to-date.
- (3) The option is fully exercisable.
- (4) The option vests in 2 equal annual installments beginning 1/20/04.
- (5) The option vests in 3 equal annual installments beginning 1/18/04.
- (6) The option vests in 4 equal annual installments beginning 2/21/04.
- (7) The option vests in 5 equal annual installments beginning 1/26/04.
- (8) The option vests in 5 equal annual installments beginning 11/20/04.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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