

TARTAGLIA THOMAS G

Form 5

February 03, 2005

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
TARTAGLIA THOMAS G

(Last) (First) (Middle)

777 NORTH BROADWAY

(Street)

LOS ANGELES, CA 90012

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
CATHAY GENERAL BANCORP  
[CATY]3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/20044. If Amendment, Date Original  
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2004	Â	J <sup>(1)</sup>	Amount (2) 135 (2)	(A) or (D) A \$ 31.21 (2)	14,470 (2)	I By ESOP
Common Stock	04/20/2004	Â	J <sup>(3)</sup>	135.418 (2)	A \$ 31.72 (2)	62,376.78 (2) (4)	I T. Tartaglia Trust
Common Stock	07/20/2004	Â	J <sup>(3)</sup>	139.174 (2)	A \$ (2) 30.933	62,376.78 (2) (4)	I T. Tartaglia Trust

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Common Stock	10/22/2004	Â	J <sup>(3)</sup>	154.734	A	\$ 35.852	62,376.78 <u>(2)</u> <u>(4)</u>	I	T. Tartaglia Trust
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option	\$ 10.625 <u>(2)</u>	Â	Â	Â	Â Â	01/20/2005 <sup>(5)</sup> 01/20/2010	Common Stock 400 <u>(2)</u>
Stock Option	\$ 15.0475 <u>(2)</u>	Â	Â	Â	Â Â	01/18/2005 <sup>(6)</sup> 01/18/2011	Common Stock 800 <u>(2)</u>
Stock Option	\$ 16.275 <u>(2)</u>	Â	Â	Â	Â Â	02/21/2005 <sup>(7)</sup> 01/21/2012	Common Stock 1,200 <u>(2)</u>
Stock Option	\$ 19.925 <u>(2)</u>	Â	Â	Â	Â Â	01/16/2005 <sup>(8)</sup> 01/16/2013	Common Stock 3,040 <u>(2)</u>
Stock Option	\$ 24.8 <u>(2)</u>	Â	Â	Â	Â Â	11/20/2004 <sup>(9)</sup> 11/20/2013	Common Stock 11,000 <u>(2)</u>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, Â CA Â 90012	Â X	Â	Â	Â

## Signatures

Thomas G. Tartaglia	02/03/2005
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\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Adjusted to reflect a 2-for-1 stock split effective 9/28/04.
- (3) Dividend Reinvestment.
- (4) 583 shares transferred from his direct ownings.
- (5) The option vests in 1 equal annual installments beginning 1/20/05.
- (6) The option vests in 2 equal annual installments beginning 1/18/05.
- (7) The option vests in 3 equal annual installments beginning 2/21/05.
- (8) The option vests in 4 equal annual installments beginning 1/16/05.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.