

TARTAGLIA THOMAS G

Form 4

January 04, 2006

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TARTAGLIA THOMAS G

2. Issuer Name **and** Ticker or Trading  
Symbol  
CATHAY GENERAL BANCORP  
[CATY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

777 NORTH BROADWAY

(Street)

LOS ANGELES, CA 90012

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/30/2005

4. If Amendment, Date Original  
Filed(Month/Day/Year)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/30/2005		J <sup>(1)</sup>	269.871 A \$ 37.0547	63,348.054 <sup>(2)</sup>	I	T. Tartaglia Trust
Common Stock					13,635 <sup>(3)</sup>	I	By ESOP
Common Stock					835 <sup>(3)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De Se (In
				Code	V	(A)	(D)	
Stock Option	\$ 10.625					01/20/2005 <sup>(4)</sup>	01/20/2010	Common Stock 400
Stock Option	\$ 15.0475					01/18/2005 <sup>(5)</sup>	01/18/2011	Common Stock 800
Stock Option	\$ 16.275					02/21/2005 <sup>(6)</sup>	02/21/2012	Common Stock 1,200
Stock Option	\$ 19.925					01/16/2005 <sup>(7)</sup>	01/16/2013	Common Stock 3,040
Stock Option	\$ 24.8					11/20/2004 <sup>(8)</sup>	11/20/2013	Common Stock 11,000
Stock Option	\$ 37					02/17/2006 <sup>(9)</sup>	02/17/2015	Common Stock 3,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, CA 90012	X			

## Signatures

Thomas G.  
Tartaglia 01/04/2006

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Optional Cash Purchase through Dividend Reinvestment Plan.
- (2) Includes 701.403 shares acquired through Dividend Reinvestment in 2005.
- (3) Distribution from ESOP - 835 shares
- (4) The option is fully exercisable.
- (5) The option vests in 2 equal annual installments beginning 1/18/05.
- (6) The option vests in 3 equal annual installments beginning 2/21/05.
- (7) The option vests in 4 equal annual installments beginning 1/16/05.
- (8) The option vests in 5 equal annual installments beginning 11/20/04.
- (9) The option vests in 5 equal annual installments beginning 2/17/06.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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