

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

SWISS HELVETIA FUND INC
Form N-PX
August 27, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-05128

THE SWISS HELVETIA FUND, INC.
1270 Avenue of the Americas, Suite 400
New York, New York 10020
1-888-SWISS-00

Rodolphe E. Hottinger, President
Hottinger et Cie
3 Place des Bergues
C.P. 395
CH-1201 Geneva
Switzerland

Date of fiscal year end: December 31

Date of reporting period: July 1, 2003 - June 30, 2004

UPCOMING EVENTS : KABA
INFORMATION NOT RECEIVED - NOT VOTED : HUBER & SUHNER AND CHARLES VOEGELE

Ticker	ISIN	HOLDINGS	Nb of Shares	Date of AGM	Description of the matter voted

BANKS Blue Chips					

UBSN	CH001203203	UBS	435,000	4/15/04	1) Annual report, accounts of the g company for the business year 20 group auditor 2) Appropriation of the balance pro 3) Discharge of the members of the executive mngt 4) Elections 5) Reduction of the share capital
CSGN	CH001213853	CREDIT SUISSE	243,000	4/30/04	1) Approval of the annual report, t statements and the group's 03 co 2) Discharge of the members of the executive board 3) Resolution on the appropriation 4) Reduction of the share capital a value 5) Elections into the board of dire 6) Elections of the parent company' group's independant auditors 7) Election of special auditors 8) Adjustment of Art. 7 section 4 a

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

9) Deletion of provisions concerning

BANKS Small & Mid Caps

BSAN	CH000226773	SARASIN	0		
BAER	CH001208300	BAER	0	5/12/04	
VONN	CH001233554	VONTOBEL	0	4/20/04	

BUILDING MATERIAL AND CONSTRUCTION

HOLN	CH001221405	HOLCIM	100,000	5/14/04	<ol style="list-style-type: none"> 1) Modification of art. 13 of the b voting and elections 2) Annual report, annual accounts of the auditors and the group au 3) Discharge of the members of the 4) Appropriation of the balance pro 5) Ordinary increase of the share c 6) Modification of art. 14 of the b directors 7) Elections
GEBN	CH000803822	GEBERIT	20,758	4/22/04	<ol style="list-style-type: none"> 1) Approval of the annual report, t consolidated financials and acce group auditors's report 2) Appropriation of the balance pro 3) Formal approval of the actions o 4) Re-election to the board of dire 5) Appointment of the auditors and 6) Amendment to the articles of inc conditional share capital

CHEMICALS

CLN	CH001214263	CLARIANT	0	2/4/04	
CIBN	CH000581972	CIBA	0	2/26/04	
SYNN	CH001103746	SYNGENTA	192,931	4/27/04	<ol style="list-style-type: none"> 1) Approval of the annual report, t of the group 2003 2) Discharge of the members of the management 3) Appropriation of the balance pro 4) Reduction of the share capital a value 5) Modification of by-laws 6) Approval of a share repurchase p 7) Elections into the board of dire 8) Election of the auditors and the
LONN	CH001384101	LONZA	30,000	3/31/04	<ol style="list-style-type: none"> 1) Annual report and annual account 2) Accounts of the group 2003, repo 3) Decision on the appropriation of

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

					4) Discharge of the members of the
					5) Elections into the board of dire
					5) Election of Auditors
GIVN	CH001064593	GIVAUDAN	0	4/16/04	
GUR	CH000801223	GURIT HEBERLEIN	0	4/00/04	
SIK	CH000058797	SIKA AG BEARER	16,783	4/21/04	1) Approval of the annual report, t of the group 2003 2) Resolution on the appropriation 3) Capital reduction in order to pa shareholders 4) Further amendments to the articl 5) Discharge of the administration 6) Elections and re-elections of Bo auditor
----- ELECTRICAL ENGINEERING & ELECTRONICS -----					
ABBN	CH001222171	ABB	1,924,725	5/18/04	1) Reporting of the business year 2 2) Approval of the annual report, t annual accounts 2003 3) Discharge of the board of direct 4) Appropriation of the balance pro 5) Elections into the board of dire 6) Election of the auditors and the
UHR	CH001225515	SWATCH	0	5/27/04	
KABN	CH001179595	KABA	4,500	10/26/04	
TEMN	CH001245391	TEMENOS	247,012	6/25/04	1) Approval of the annual report 20 of the group, report of the audi 2) Appropriation of the balance res 3) Discharge of the members of the 4) Elections into the board of dire 5) Eelction of the auditors and the 6) Conditional share capital 7) Authorized share capital
BEAN	CH000150319	BELIMO AUTOMATION	2,055	4/26/04	1) Approval of the annual report, t the consolidated financial state the auditors 2) Declare approval to the appropri 3) Formal approval to the board of 4) Appointment of auditors and grou
KUD	CH001226836	KUDELSKI	0	5/26/04	
LOGN	CH001260719	LOGITECH	302,000	6/24/04	1) Business report as per March 31, 2) Approval of the annual report, t and the annual accounts of logit of the auditors and the group au 3) Appropriation of the balance pro 4) Authorized increase of the share 5) Approval of the share repurchase

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

					6) Discharge of the board of directors
					7) Elections
MASN	CH001233742	MICRONAS	49,180	3/26/03	1) Approval of the annual report 2003 and consolidated statements of the reports of the auditors and management 2) Use of the balance sheet profit and management 4) Appropriation of the balance profit 5) Elections into the board of directors 6) Election of the auditors and the
PHBN	CH001254978	PHONAK	261,766	7/8/04	1) Approval of the business report 2) Discharge of the members of the management 3) Appropriation of the balance profit 4) Election of the auditors and the
SBEN	CH000873861	SAIA BURGESS	0		
SAHN	CH000906209	SCHAFFNER	0	1/9/04	
TECN	CH001210019	TECAN AG	0	4/28/04	
FOOD AND LUXURY GOOD					
NESN	CH001205604	NESTLE	207,000	4/22/04	1) Annual report, annual accounts, of the auditors 2) Discharge of the members of the 3) Resolution on the appropriation 4) Elections into the board of directors
CFR	CH001273145	FINANCIERE RICHEMONT0		9/16/04	
LISN	CH001057075	LINDT & SPRUENGLI	300	4/29/04	1) Approval of the annual report, of the group 2003 2) Discharge of the members of the 3) Appropriation of the balance profit 4) Elections 5) Increase of the conditional part
INSURANCE					
RUKN	CH001233237	SWISS RE	234,924	5/14/04	1) Approval of the annual report, accounts statements 2003 2) Discharge of the members of the board 3) Allocation of disposable profit 4) Changes to the Articles of Association 5) Elections 6) Re-election
ZURN	CH001107539	ZURICH	102,849	4/16/04	1) Approval of the annual report and accounts of the group 2003 2) Appropriation of the balance profit 3) Reduction of the share capital value, modification of by-laws 4) Discharge of the members of the

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

					executive committee
					5) Elections and re-elections
SLHN	CH001485278	SWISS LIFE	0	5/18/04	
CHRN	CH001299771	CONVERIUM	27,377	4/27/04	1) Approval of the annual report and accounts of the group 2003, acknowledgment of the auditors and group auditors 2) Allocation of available earnings 3) Release of the members of the board of management from liability 4) Re-election of board members 5) Amendments to the articles of incorporation 6) Election of the auditors and group auditors
BALN	CH001241051	BALOISE	0	5/14/04	
HEPN	CH001227168	HELVETIA PATRIA	0		
----- MACHINERY -----					
SIGN	CH001202249	SWISS INDUSTRIE GESE0L.		3/31/04	
SUN	CH000237645	SULZER	0	4/29/04	
SCHP	CH001391410	SCHINDLER	0	3/18/04	
----- MISCELLANEOUS INDUSTRIES -----					
DAE	CH000164991	DAETWYLER	0		
UNAX	CH000081682	UNAXIS	0	6/1/04	
HUBN	CH001239824	HUBER & SUHNER	35,230	4/21/04	1) Annual report, annual accounts 2003 2) Accounts of the group 2003 and recognition of the auditors 3) Discharge of the board of directors 4) Appropriation of the balance profit 5) Elections 6) Miscellaneous
CYTN	CH001102521	CYTOS	35,487	4/27/04	1) Approval of the annual report and accounts of the group 2003, acknowledgment of the auditors and group auditors 2) Discharge of the members of the board of management 3) Creation of authorized share capital and authorisation of the board of directors 4) Creation of new conditional shares 5) Elections into board of directors 6) Election of the auditors and group auditors
KOMN	CH001070215	KOMAX	0	5/11/04	
STMN	CH001228007	STRAUMANN HLDG	0	4/23/04	

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

MISCELLANEOUS SERVICES						

ADEN	CH001213860	ADECCO	0	5/26/04		
SGSN	CH000249745	SGS	6,598	3/23/04	1) Approval of the annual report &	2) Release of the Board of director
					3) Decision on the appropriation of	4) Election of Auditors
SCMN	CH000874251	SWISSCOM	0	4/27/04		

PHARMACEUTICALS						

ROG	CH001203204	ROCHE	420,000			
ATLN	CH001053247	ACTELION	116,491	4/30/04	1) Approval of the annual report &	consolidated accounts as of 12/0
					2) Use of the result of the annual	3) Discharge of board of directors
					4) Election of board members	
BBIN	CH001429801	BERNA BIOTECH	0			
SEO	CH001075192	SERONO	0	5/25/04		
GALN	CH001553646	GALENICA	24,767	5/27/04	1) Approval of the annual report, a	group 2003, reports of the audit
					2) Discharge of board of directors	3) Resolution on the appropriation
					4) Modification of the by-laws	5) Statutory elections
NOVN	CH001200526	NOVARTIS	1,315,000	2/24/04	1) Approval of the annual report &	2) Approval of the activities of th
					3) Appropriation of the available e	dividend declaration
					4) Conversion of general reserves i	5) Reduction of share capital
					6) Further share repurchase program	7) Amendment to the articles of inc
					8) Elections to the board of direct	9) Appointment of the auditors

RETAILERS						

VCH	CH000693777	CHARLES VOEGELE	H173,208	4/6/04	1) Business report with annual repo	the group 2003, reports of the a
					2) Appropriation of the balance pro	3) Discharge of the management
					4) Elections into the board of dire	5) Election of the auditors and the
JEL	CH000066846	JELMOLI	0			

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

UTILITIES

 EGL CH000328822 ELKT LAUFENBURG 5,000 1/28/05

TRANSPORTS

 KNIN CH001254181 KUEHNE&NAGEL 53,000 5/12/04
 1) Approval of the annual report &
 2) Resolution on the appropriation
 3) Discharge of the board of direct
 4) Elections into the board of dire
 4) Creation of authorized share
 5) Capital and modification of by-l
 6) Election of the auditors and the

TICKER	PROPOSED BY THE ISSUER (I) OR SHAREHOLDER (S)	WHETHER THE FUND CAST ITS VOTE ON THE MATTER	HOW DID THE FUND VOTE	WHETHER THE FUND CAST ITS VOTE FOR OR AGAINST BOARD
UBSN	I	YES	YES TO ALL	FOR
CSGN	I	YES	YES TO 1 THROUGH 9 AND NO TO 10	AGAINST ON ITEM 10
BSAN				
BAER				
VONN				
HOLN	I	YES	YES TO ALL	FOR
GEBN	I	YES	YES TO ALL	FOR
CLN				
CIBN				
SYNN	I	YES	YES TO ALL	FOR
LONN	I	YES	YES TO ALL	FOR
GIVN				
GUR				
SIK	I	YES	YES TO ALL	FOR
ABBN	I	YES	YES TO ALL	FOR
UHR				

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

KABN				
TEMN	I		YES	YES TO ALL FOR
BEAN	I		YES	YES TO ALL FOR
KUD				
LOGN	I		YES	YES TO ALL FOR
MASN	I		YES	YES TO ALL FOR
PHBN	I		YES	YES TO ALL FOR
SBEN				
SAHN				
TECN				
NESN	I		YES	YES TO ALL FOR
CFR				
LISN	I		YES	YES TO ALL FOR
RUKN	I		YES	YES TO ALL FOR
ZURN	I		YES	YES TO ALL FOR
SLHN				
CHRN	I		YES	YES TO ALL BUT FOR BUT SUSTAIN TO ITEM 3 SUSTAINED O ITEM 3
BALN				
HEPN				
SIGN				
SUN				
SCHP				
DAE				
UNAX				
HUBN	I		NO	
CYTN	I		YES	YES TO ALL FOR
KOMN				
STMN				
ADEN				
SGSN	I		YES	YES TO ALL FOR

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

SCMN					
ROG			NO		
ATLN	I		YES	YES TO ALL	FOR
BBIN					
SEO					
GALN	I		YES	YES TO ALL	FOR
NOVN	I		YES	YES TO ALL	FOR
VCH	I		NO		
JEL					
EGL					
KNIN	I		YES	YES TO ALL	FOR

TICKER	REASON FOR NOT VOTING/HOW THE FUND WOULD HAVE VOTED	% OF SHARES OWNED ON TOTAL MARKET VALUE OF PORTFOLIO
UBSN		
CSGN		
BSAN		
BAER		
VONN		
HOLN		
GEBN		
CLN		
CIBN		
SYNN		
LONN		
GIVN		
GUR		
SIK		
ABBN		

Edgar Filing: SWISS HELVETIA FUND INC - Form N-PX

UHR

KABN

UPCOMING EVENT

TEMN

BEAN

KUD

LOGN

MASN

PHBN

SBEN

SAHN

TECN

NESN

CFR

LISN

RUKN

ZURN

SLHN

CHRN

BALN

HEPN

SIGN

SUN

SCHP

DAE

UNAX

HUBN

WE DID NOT RECEIVE ANY INFORMATION FROM EITHER THE COMPANY NOR SASI. WE CONTACTED SASI TO RECEIVE THE AGENDA BUT DID NOT HAVE ANY ANSWER BACK AND WE MISSED THE DEADLINE.FUND WOULD HAVE VOTED YES TO ALL

0.56%

CYTN

KOMN

STMN

