Edgar Filing: Passi Gaurav - Form 4/A

| Passi Gaurav Form 4/A | | | | | | | | | |
|--|---|---------------|---|--------------------|--|-------------------------------------|---|--|---|
| April 02, 2018 | | | | | | | | | |
| FORM | 4 UNITED | STATES | SECU | DITIFS | AND FY | CHANCI | E COMMISSION | т | PPROVAL |
| | OMB Number: | 3235-0287 | | | | | | | |
| Check this if no longer | | | | | | | Expires: | January 31, 2005 | |
| subject to Section 16. Form 4 or | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | Estimated burden hou response | average Jrs per | | |
| Form 5 obligations may contine <i>See</i> Instruct 1(b). | ue. Section 17(| a) of the l | Public U | tility Ho | lding Co | | inge Act of 1934, t of 1935 or Sectio 1940 | on | |
| (Print or Type Res | sponses) | | | | | | | | |
| 1. Name and Add Passi Gaurav | 2. Issuer Name and Ticker or Trading Symbol Five9, Inc. [FIVN] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (| Middle) | | of Earliest T | - | | (Che | ck all applicabl | e) |
| C/O FIVE9, I | NC., BISHOP CUTIVE PAR | RANCH | | Day/Year) | runsuorion | | Director X Officer (giv below) EVP, P | | % Owner ter (specify ment |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) 02/28/2018 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| SAN RAMON | N, CA 94583 | | 02/20/2 | 2010 | | | | More than One R | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative | Securities A | Acquired, Disposed (| of, or Beneficia | lly Owned |
| | Transaction Date Aonth/Day/Year) | | Date, if | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, Amount | (A) or of (D) | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Reminder: Repor | t on a separate line | e for each cl | ass of sec | urities bene | ficially ow | ned directly | or indirectly. | | |
| information co required to res | | | | | | | spond to the collect tained in this form ond unless the for ontly valid OMB co | i are not rm | SEC 1474 (9-02) |
| | Tab | | | | | sposed of, or convertible | • Beneficially Owned securities) | I | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|------------|---------------------|--------------------|-----------------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | Expiration Date | Underlying Securities |

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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is being filed to correct the exercise price and number of shares of the employee stock option granted to the Reporting Person on February 26, 2018, which were incorrectly listed in the original report.
- (2) The option vests in 48 equal monthly installments beginning on the one month anniversary of February 26, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.