### Edgar Filing: CALAMOS CONVERTIBLE OPPORTUNITIES & INCOME FUND - Form 3

#### CALAMOS CONVERTIBLE OPPORTUNITIES & INCOME FUND

Form 3 July 11, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

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Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Herman Thomas E			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CALAMOS CONVERTIBLE OPPORTUNITIES & INCOME FUND [CHI]					
(Last)	(First)	(Middle)	07/01/2016	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Origin Filed(Month/Day/Year)			
2020 CALAN	MOS COU	RT		. ,			T ned(wondinbay/ rear)		
	(Street)		(Check all applicable)				6. Individual or Joint/Group		
NAPERVILL	.E, IL (	60563		.0			Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securiti	ies Be	neficially Owned		
1.Title of Securi (Instr. 4)	ty		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr			
Reminder: Repor		ate line for ea	ch class of securities benefic	ially S	SEC 1473 (7-02	.)			
		_	oond to the collection of nined in this form are not						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect	

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Herman Thomas E	÷	٠	VP	<b>↑</b>			
2020 CALAMOS COURT	Â	A	and	Α			
NAPERVILLE, IL 60563			CFO				

# **Signatures**

/s/ Thomas E.
Herman

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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