

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

FORT DEARBORN INCOME SECURITIES INC

Form NSAR-B/A

October 11, 2013

000 B000000 09/30/2012  
000 C000000 0000038188  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 Y  
000 H000000 N  
000 I000000 6.1  
000 J000000 B  
001 A000000 FORT DEARBORN INCOME SECURITIES, INC.  
001 B000000 811-02319  
001 C000000 2128213000  
002 A000000 ONE NORTH WACKER DRIVE  
002 B000000 CHICAGO  
002 C000000 IL  
002 D010000 60606  
002 D020000 2807  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.  
008 B000001 A  
008 C000001 801-34910  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10019  
008 D040001 6028  
010 A000001 J.P. MORGAN INVESTOR SERVICES, CO.  
010 B000001 84-1719  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02108  
010 C040001 3913  
012 A000001 Computershare Trust Company, N.A.  
012 B000001 84-0000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3069  
013 A000001 ERNST & YOUNG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
014 A000001 BELL FINANCIAL GROUP LTD  
014 B000001 8-00000  
014 A000002 BIDS LLC  
014 B000002 8-00000  
014 A000003 BONDS.COM GROUP INC.  
014 B000003 8-50451  
014 A000004 EQUILEND  
014 B000004 8-53738  
014 A000005 HYANNIS PORT RESEARCH INC.  
014 B000005 8-00000  
014 A000006 ICAP IEB Z LIMITED  
014 B000006 8-00000  
014 A000007 MANATARA INC.

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014 B000007 8-00000  
014 A000008 OOO UBS BANK  
014 B000008 8-00000  
014 A000009 OTCDERIV LIMITED  
014 B000009 8-00000  
014 A000010 SIX GROUP AG  
014 B000010 8-00000  
014 A000011 THEMARKETS LLC  
014 B000011 8-00000  
014 A000012 TURQUOISE GLOBAL HOLDINGS LIMITED  
014 B000012 8-00000  
014 A000013 UBS (LUXEMBOURG) S.A. AUSTRIA BRANCH  
014 B000013 8-00000  
014 A000014 UBS (LUXEMBOURG) SA  
014 B000014 8-00000  
014 A000015 UBS AG  
014 B000015 8-00000  
014 A000016 UBS AG DUBAI BRANCH  
014 B000016 8-00000  
014 A000017 UBS ASESORES MEXICO, S.A. DE C.V.  
014 B000017 8-00000  
014 A000018 UBS BELGIUM SA/NV  
014 B000018 8-00000  
014 A000019 UBS DEUTSCHLAND AG  
014 B000019 8-00000  
014 A000020 UBS FINANCIAL SERVICES, INC.  
014 B000020 8-00000  
014 A000021 UBS FUND SERVICES (CAYMAN) LTD.  
014 B000021 8-00000  
014 A000022 UBS FUND SERVICES (IRELAND) LTD.  
014 B000022 8-00000  
014 A000023 UBS FUND SERVICES (USA) LLC  
014 B000023 8-00000  
014 A000024 UBS GLOBAL ASSET MANAGEMENT (US) INC.  
014 B000024 8-00000  
014 A000025 UBS GLOBAL ASSET MANAGEMENT FUNDS LTD.  
014 B000025 8-00000  
014 A000026 UBS INVESTMENT BANK  
014 B000026 8-00000  
014 A000027 UBS ITALIA SIM SPA  
014 B000027 8-00000  
014 A000028 UBS LIMITED  
014 B000028 8-00000  
014 A000029 UBS MENKUL DEGERLER AS  
014 B000029 8-00000  
014 A000030 UBS NEW ZEALAND LTD.  
014 B000030 8-00000  
014 A000031 UBS SECURITIES ASIA LTD.  
014 B000031 8-00000  
014 A000032 UBS SECURITIES AUSTRALIA LTD.  
014 B000032 8-00000  
014 A000033 UBS SECURITIES CANADA INC.  
014 B000033 8-00000  
014 A000034 UBS SECURITIES CJSC  
014 B000034 8-00000  
014 A000035 UBS SECURITIES FRANCE SA  
014 B000035 8-00000  
014 A000036 UBS SECURITIES INDIA PRIVATE LTD.  
014 B000036 8-00000  
014 A000037 UBS SECURITIES JAPAN LTD.  
014 B000037 8-00000  
014 A000038 UBS SECURITIES LLC

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014 B000038 8-00000  
014 A000039 UBS SECURITIES MALAYSIA  
014 B000039 8-00000  
014 A000040 UBS SECURITIES PTE. LTD.  
014 B000040 8-00000  
014 A000041 UBS SECURITIES PTE. LTD. SEOUL BRANCH  
014 B000041 8-00000  
014 A000042 UBS SECURITIES SINGAPORE PTE. LTD  
014 B000042 8-00000  
014 A000043 UBS SECURITIES, ESPANA, SV SA  
014 B000043 8-00000  
014 A000044 UBS SOUTH AFRICA (PTY) LIMITED  
014 B000044 8-00000  
014 A000045 UBS TRADING SA  
014 B000045 8-00000  
014 A000046 UNX COMPANIES LLC  
014 B000046 8-00000  
014 A000047 VOLBROKER.COM LIMITED  
014 B000047 8-00000  
014 A000048 YELDBROKER PTY LIMITED  
014 B000048 8-00000  
014 A000049 ZAO UBS SECURITIES  
014 B000049 8-00000  
015 A000001 JPMORGAN CHASE BANK  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10003  
015 C040001 9598  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 96  
019 C000000 UBSGLOBLAM  
021 000000 0  
022 A000001 J.P. MORGAN CHASE & CO.  
022 B000001 13-3379014  
022 C000001 99766  
022 D000001 93753  
022 A000002 MORGAN STANLEY & CO., LLC  
022 B000002 13-2655998  
022 C000002 41274  
022 D000002 42334  
022 A000003 BANK OF AMERICA CORP.  
022 B000003 56-0906609  
022 C000003 47630  
022 D000003 35650  
022 A000004 DEUTSCHE BANK  
022 B000004 52-1319768  
022 C000004 26859  
022 D000004 30996  
022 A000005 BARCLAYS CAPITAL, INC.  
022 B000005 06-1031656  
022 C000005 17624  
022 D000005 26561  
022 A000006 CREDIT SUISSE FIRST BOSTON  
022 B000006 13-5659485  
022 C000006 11214  
022 D000006 28741  
022 A000007 CITIGROUP INC.  
022 B000007 52-1568099  
022 C000007 19693

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022 D000007 18374  
 022 A000008 BANK OF NEW YORK MELLON CORP.  
 022 B000008 13-5160382  
 022 C000008 18868  
 022 D000008 18310  
 022 A000009 JEFFERIES & CO.  
 022 B000009 95-2622900  
 022 C000009 8668  
 022 D000009 4358  
 022 A000010 GOLDMAN SACHS & CO.  
 022 B000010 13-5108880  
 022 C000010 5994  
 022 D000010 4826  
 023 C000000 340293  
 023 D000000 338415  
 024 000000 Y  
 025 A000001 BANK OF AMERICA CORP.  
 025 B000001 56-0906609  
 025 C000001 D  
 025 D000001 3226  
 025 A000002 BARCLAYS CAPITAL, INC.  
 025 B000002 06-1031656  
 025 C000002 D  
 025 D000002 265  
 025 A000003 CITIGROUP INC.  
 025 B000003 52-1568099  
 025 C000003 D  
 025 D000003 3404  
 025 A000004 GOLDMAN SACHS & CO.  
 025 B000004 13-5108880  
 025 C000004 D  
 025 D000004 2620  
 025 A000005 JPMORGAN CHASE & CO.  
 025 B000005 13-3379014  
 025 C000005 D  
 025 D000005 5132  
 025 A000006 MORGAN STANLEY & CO., LLC  
 025 B000006 13-2655998  
 025 C000006 D  
 025 D000006 1646  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 0.000  
 048 A010000 100000  
 048 A020000 0.500  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000

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|     |         |        |
|-----|---------|--------|
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.400  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |
| 054 | B000000 | Y      |
| 054 | C000000 | N      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | Y      |
| 054 | H000000 | N      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | N      |
| 055 | A000000 | Y      |
| 055 | B000000 | N      |
| 056 | 000000  | Y      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |
| 059 | 000000  | Y      |
| 060 | A000000 | Y      |
| 060 | B000000 | Y      |
| 061 | 000000  | 0      |
| 062 | A000000 | Y      |
| 062 | B000000 | 0.0    |
| 062 | C000000 | 0.0    |
| 062 | D000000 | 0.0    |
| 062 | E000000 | 0.0    |
| 062 | F000000 | 0.0    |
| 062 | G000000 | 0.0    |
| 062 | H000000 | 0.0    |
| 062 | I000000 | 0.0    |
| 062 | J000000 | 0.0    |
| 062 | K000000 | 0.0    |
| 062 | L000000 | 2.1    |
| 062 | M000000 | 15.0   |
| 062 | N000000 | 5.8    |
| 062 | O000000 | 7.6    |
| 062 | P000000 | 62.0   |
| 062 | Q000000 | 6.5    |
| 062 | R000000 | 0.0    |
| 063 | A000000 | 0      |

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|     |         |        |
|-----|---------|--------|
| 063 | B000000 | 17.5   |
| 064 | A000000 | Y      |
| 064 | B000000 | Y      |
| 065 | 000000  | N      |
| 066 | A000000 | N      |
| 067 | 000000  | N      |
| 068 | A000000 | N      |
| 068 | B000000 | N      |
| 069 | 000000  | N      |
| 070 | A010000 | Y      |
| 070 | A020000 | N      |
| 070 | B010000 | N      |
| 070 | B020000 | N      |
| 070 | C010000 | N      |
| 070 | C020000 | N      |
| 070 | D010000 | N      |
| 070 | D020000 | N      |
| 070 | E010000 | N      |
| 070 | E020000 | N      |
| 070 | F010000 | N      |
| 070 | F020000 | N      |
| 070 | G010000 | N      |
| 070 | G020000 | N      |
| 070 | H010000 | N      |
| 070 | H020000 | N      |
| 070 | I010000 | N      |
| 070 | I020000 | N      |
| 070 | J010000 | Y      |
| 070 | J020000 | N      |
| 070 | K010000 | Y      |
| 070 | K020000 | Y      |
| 070 | L010000 | Y      |
| 070 | L020000 | Y      |
| 070 | M010000 | Y      |
| 070 | M020000 | N      |
| 070 | N010000 | Y      |
| 070 | N020000 | N      |
| 070 | O010000 | Y      |
| 070 | O020000 | N      |
| 070 | P010000 | Y      |
| 070 | P020000 | N      |
| 070 | Q010000 | N      |
| 070 | Q020000 | N      |
| 070 | R010000 | N      |
| 070 | R020000 | N      |
| 071 | A000000 | 260338 |
| 071 | B000000 | 268602 |
| 071 | C000000 | 148534 |
| 071 | D000000 | 175    |
| 072 | A000000 | 12     |
| 072 | B000000 | 6894   |
| 072 | C000000 | 3      |
| 072 | D000000 | 0      |
| 072 | E000000 | 0      |
| 072 | F000000 | 705    |
| 072 | G000000 | 0      |
| 072 | H000000 | 0      |
| 072 | I000000 | 41     |
| 072 | J000000 | 61     |
| 072 | K000000 | 0      |
| 072 | L000000 | 37     |
| 072 | M000000 | 23     |

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|        |         |        |
|--------|---------|--------|
| 072    | N000000 | 0      |
| 072    | O000000 | 11     |
| 072    | P000000 | 0      |
| 072    | Q000000 | 0      |
| 072    | R000000 | 45     |
| 072    | S000000 | 45     |
| 072    | T000000 | 0      |
| 072    | U000000 | 0      |
| 072    | V000000 | 0      |
| 072    | W000000 | 52     |
| 072    | X000000 | 1020   |
| 072    | Y000000 | 0      |
| 072    | Z000000 | 5877   |
| 072AA  | 000000  | 7548   |
| 072BB  | 000000  | 0      |
| 072CC0 | 10000   | 4230   |
| 072CC0 | 20000   | 0      |
| 072DD0 | 10000   | 6275   |
| 072DD0 | 20000   | 0      |
| 072EE  | 000000  | 6283   |
| 073    | A010000 | 0.7150 |
| 073    | A020000 | 0.0000 |
| 073    | B000000 | 0.7160 |
| 073    | C000000 | 0.0000 |
| 074    | A000000 | 1061   |
| 074    | B000000 | 0      |
| 074    | C000000 | 3251   |
| 074    | D000000 | 151938 |
| 074    | E000000 | 40     |
| 074    | F000000 | 13     |
| 074    | G000000 | 0      |
| 074    | H000000 | 0      |
| 074    | I000000 | 0      |
| 074    | J000000 | 2596   |
| 074    | K000000 | 0      |
| 074    | L000000 | 1668   |
| 074    | M000000 | 29     |
| 074    | N000000 | 160596 |
| 074    | O000000 | 3512   |
| 074    | P000000 | 0      |
| 074    | Q000000 | 0      |
| 074    | R010000 | 0      |
| 074    | R020000 | 0      |
| 074    | R030000 | 0      |
| 074    | R040000 | 293    |
| 074    | S000000 | 0      |
| 074    | T000000 | 156791 |
| 074    | U010000 | 8776   |
| 074    | U020000 | 0      |
| 074    | V010000 | 17.87  |
| 074    | V020000 | 0.00   |
| 074    | W000000 | 0.0000 |
| 074    | X000000 | 1323   |
| 074    | Y000000 | 0      |
| 075    | A000000 | 0      |
| 075    | B000000 | 151119 |
| 076    | 000000  | 17.20  |
| 077    | A000000 | Y      |
| 077    | B000000 | Y      |
| 077    | C000000 | N      |
| 077    | D000000 | Y      |
| 077    | E000000 | N      |

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077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 Y  
077 P000000 N  
077 Q010000 Y  
077 Q020000 Y  
077 Q030000 N  
078 000000 N  
080 A000000 ICI Mutual Insurance Co.  
080 C000000 70000  
081 A000000 Y  
081 B000000 95  
082 A000000 N  
082 B000000 0  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 347200107  
087 A030000 FDI  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE THOMAS DISBROW  
TITLE Vice President and Treasurer