Steinberg Richard A Form 4 May 19, 2010

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Steinberg Richard A

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

COLUMBUS MCKINNON CORP [CMCO]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director \_X\_\_ Officer (give title

10% Owner Other (specify

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

(Month/Day/Year)

below) V.P. - Human Resources

05/17/2010

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

AMHERST, NY 14228-1197

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities A	acquired, Dispos	ed of, or Bene	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	1 '		5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect		7. Nature of Indirect Beneficial Ownership	
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	(Instr. 4)
Common Stock							2,721 <u>(1)</u>	D	
Common Stock	05/17/2010		A	1,472 (2)	A	\$0	4,193	D	
Common Stock	05/17/2010		A	593	A	\$0	4,786	D	
Common Stock							440 (3)	D	
Common Stock							738,475	I	Additional shares held by

ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2016	Common Stock	45,0
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,8
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/17/2010		A	2,795	<u>(7)</u>	05/16/2020	Common Stock	2,7

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsinps						
	Director	10% Owner	Officer	Other			

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#### Edgar Filing: Steinberg Richard A - Form 4

Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197 V.P. -Human Resources

### **Signatures**

Richard A. Steinberg 05/19/2010

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,213 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 690 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011 and the remaining 1,523 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2011, if reporting person remains an employee of issuer.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan (2) dated as of May 4, 2006, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 25% per year for four years, beginning 10/16/06, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive (7) Plan dated as of May 4, 2006; options become exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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