

Edgar Filing: Ascent Solar Technologies, Inc. - Form 8-K

Ascent Solar Technologies, Inc.  
Form 8-K  
August 04, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934  
Date of Report (Date of Earliest Event Reported): August 4, 2017 (August 3, 2017)

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ASCENT SOLAR TECHNOLOGIES, INC.  
(Exact name of registrant as specified in its charter)

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|   |                             |   |
|---|-----------------------------|---|
| Delaware  | 001-32919                   | 20-3672603                                    |
| (State or other jurisdiction<br>of incorporation) | (Commission<br>File Number) | (I.R.S.<br>Employer<br>Identification<br>No.) |

12300 Grant Street  
Thornton, Colorado  
(Address of principal executive offices)

80241  
(Zip Code)

Registrant's telephone number, including area code: (720) 872-5000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

The Company held its Annual Meeting of Stockholders (“Annual Meeting”) on August 3, 2017. The matters voted upon at the Annual Meeting and the results of such voting are set forth below.

Proposal 1 - Election of one Class 3 director to serve until the 2020 annual meeting of stockholders and their successors have been elected and qualified.

| Nominee | Votes For | Withheld | Broker Non-Votes |
|---------|-----------|----------|------------------|
|---------|-----------|----------|------------------|

|            |               |             |               |
|------------|---------------|-------------|---------------|
| Victor Lee | 1,478,934,728 | 176,208,395 | 2,682,238,674 |
|------------|---------------|-------------|---------------|

The director nominee was duly elected.

Mr. Winston Xu (Xu Biao), also a director whose term was ending, retired from the board at the completion of the 2017 Annual Meeting.

Proposal 2 - Ratification of appointment of Independent Registered Public Accounting Firm.

| Votes For | Votes Against | Abstain | Broker Non-Votes |
|-----------|---------------|---------|------------------|
|-----------|---------------|---------|------------------|

|               |             |            |   |
|---------------|-------------|------------|---|
| 3,910,570,742 | 386,165,561 | 40,645,494 | 0 |
|---------------|-------------|------------|---|

Proposal 2 was approved.

Proposal 3 - Non-binding advisory approval of the compensation of our executive officers.

| Votes For | Votes Against | Abstain | Broker Non-Votes |
|-----------|---------------|---------|------------------|
|-----------|---------------|---------|------------------|

|               |             |            |               |
|---------------|-------------|------------|---------------|
| 1,380,435,083 | 207,586,439 | 67,121,601 | 2,682,238,674 |
|---------------|-------------|------------|---------------|

Proposal 3 was approved.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ASCENT SOLAR  
TECHNOLOGIES, INC.

August

4, By: /s/ Victor Lee

2017

Name: Victor Lee

Title: Chief Executive Officer