NOBLE ROMANS INC Form 144

June 29, 2015

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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SEC USE ONLY

**FORM 144** DOCUMENT SEQUENCE NO.

### NOTICE OF PROPOSED SALE OF SECURITIES

**CUSIP NUMBER** 

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an WORK LOCATION order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. (c) S.E.C. FILE NO.

NO.

Noble Roman's, Inc.

35-1281154 0-11104

1 (d) ADDRESS OF ISSUER (Street, City, State, Zip Code)

(e) TELEPHONE NO. AREA CODE **NUMBER** 

One Virginia Avenue, Suite 300

317

Indianapolis, Indiana 46204 2 (a) NAME OF (b) IRS

(c) RELATIONSHIP(d) ADDRESS (Street. City, State, Zip Code)

634-3377

PERSON FOR WHOSE IDENT. NO. TO ISSUER

ACCOUNT THE

SECURITIES ARE TO

**BE SOLD** 

Paul Mobley

Officer/Director

One Virginia Avenue, Suite 300 Indianapolis, Indiana 46204

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

| 3 (a)        | (b)                       | SEC USE       | (c)         | (d)         | (e)         | (f)          | (g)         |
|--------------|---------------------------|---------------|-------------|-------------|-------------|--------------|-------------|
| Title of the | Name and Address of       | ONLY          | Number of   | Aggregate   | Number of   | Approximate  | Name of     |
| Class of     | Each Broker Through       |               | Shares or   | Market      | Shares or   | Date of Sale | Each        |
| Securities   | Whom the Securities are I | Broker-Dealer | Other Units | Value       | Other Units |              | Securities  |
| To be sold   | to be Offered or Each     | File Number   | To Be Sold  |             | Outstanding | (See instr.  | Exchange    |
|              | Market Maker who is       |               |             | (See instr. |             | 3(f))        |             |
|              | Acquiring the Securities  |               | (See instr. | 3(d))       | (See instr. | (MO. DAY     | (See instr. |
|              |                           |               | 3(c))       |             | 3(e))       | YR.)         | 3(g))       |
| Common       | Wunderlich Securities,    |               | 200,000     | 400,000     | 20,668,660  | 07/01/2015   |             |
| Stools       | Ina                       |               |             |             |             |              |             |

Inc. Stock

> 7711 Bonhomme Avenue, Suite 600 St. Louis, MO 63105

**INSTRUCTIONS:** 3.(a)Title of the class of securities to be sold (b)Name and address of each broker through whom the 1(a)Name of issuer (b)Issuer's I.R.S. Identification Number securities are intended to be sold (c)Issuer's S.E.C. file number, if any (c) Number of shares or other units to be sold (if debt (d)Issuer's address, including zip code securities, give the aggregate face amount) (e)Issuer's telephone number, including area code (d)Aggregate market value of the securities to be sold as 2.(a) Name of person for whose account the securities are to of a specified date within 10 days prior to the filing of this be sold notice (b) Such person's I.R.S. identification number, if such person(e) Number of shares or other units of the class is an entity

is an entity outstand (c)Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family statement of any of the foregoing) (f)Appr

(d)Such person's address, including zip code

outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer

(f) A provimate date on which the securities are to be sol

(f)Approximate date on which the securities are to be sold (g)Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (01-07)

#### TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

| Title of  | Date you   |                     | Name of Person       | Amount of  | Date of    |           |
|-----------|------------|---------------------|----------------------|------------|------------|-----------|
| the Class | Acquired   | Name of Acquisition | from Whom            | Securities | Payment    | Nature of |
|           |            | Transaction         | Acquired             | Acquired   |            | Payment   |
|           |            |                     | (If gift, also give  |            |            |           |
|           |            |                     | date donor acquired) |            |            |           |
| Common    | 09/12/1978 | Purchase            | Issuer               | 1,850,000  | 09/12/1978 | Cash      |
| Stock     |            |                     |                      |            |            |           |

#### **INSTRUCTIONS:**

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

|                            |                          |         | Amount of  |                |
|----------------------------|--------------------------|---------|------------|----------------|
| Name and Address of Seller | Title of Securities Sold | Date of | Securities | Gross Proceeds |
|                            |                          | Sale    | Sold       |                |

REMARKS: this sale is in conjunction with the 10b5-1 Plan adopted and disclosed in December 2014.

INSTRUCTIONS: ATTENTION:

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all this notice that he does not know any material adverse other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

notice relates are to be sold hereby represents by signing information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed.

June 29, 2015

DATE OF NOTICE

/s/ Paul Mobley

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (01-07)