Degillio Deborah A Form 4 January 29, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad Degillio Deb	•	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			American Water Works Company, Inc. [AWK]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% OwnerX_ Officer (give title Other (specify		
1025 LAUREL OAK ROAD			01/25/2018	below) below) President, AWE		
(Street)  VOORHEES, NJ 08043  (City) (State) (Zip)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
			Table I. Nov. Doctor Co. Competer A.			

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi r(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/25/2018		M	1,136	A	\$0	3,719	D	
Common Stock	01/25/2018		A	862 (1)	A	\$ 0	4,581	D	
Common Stock	01/25/2018		F	707	D	\$ 84.53	3,874	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Shar
Performance Stock Unit	<u>(2)</u>	01/25/2018		A	487 (3)		(2)	12/31/2017(4)	Common Stock	48′
Performance Stock Unit	<u>(2)</u>	01/25/2018		M		1,136 (5)	(2)	12/31/2017(4)	Common Stock	1,1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Degillio Deborah A 1025 LAUREL OAK ROAD VOORHEES, NJ 08043

President, AWE

# **Signatures**

/s/ Jeffrey M. Taylor, as attorney-in-fact for Deborah A. Degillio

01/29/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares vested pursuant to an award of performance stock units (PSUs) granted in February 2015 under the American Water (1) Works Company, Inc. 2007 Omnibus Equity Compensation Plan (the "Plan"). This award vested on January 25, 2018. PSUs are settled solely by the delivery of one share of common stock per PSU (and may not be settled in cash).
- (2) Each PSU represented a contingent right to receive one share of Company common stock, based in part on the Company's annual relative total stockholder return (TSR) over a three-year performance period, beginning on January 1, 2015 and ending December 31, 2017.
- (3) Represents the above-target earned portion of the TSR performance stock unit. In 2015, the Company granted 649 PSUs under the Plan, equal to the number of shares to be received if target performance was achieved.
- (4) Represents the end of the performance period to which the PSUs relate. This award vested on January 25, 2018.
- (5) Represents the earned portion of the TSR performance stock units, including target units granted in 2015 and above-target units earned based on TSR performance. The number of TSR performance stock units earned was 175 percent of the target.

Reporting Owners 2

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