Abbott John S Form 4 February 08, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Abbott John S			2. Issuer Name <b>and</b> Ticker or Trading Symbol Otter Tail Corp [OTTR]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
215 S CASCADE ST			(Month/Day/Year) 02/06/2018	Director 10% OwnerX Officer (give title Other (specify below) Pres Varistar, Sr VP, M/I Plat		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)		
FERGUS FALLS, MN 56537-2801			•	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	e I - Non-D	<b>D</b> erivative	Secur	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/06/2018		M	1,525	A	<u>(1)</u>	7,054	D	
Common Stock	02/06/2018		F	594 <u>(2)</u>	D	\$ 39.775	6,460 <u>(3)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	02/06/2018		M	450	02/06/2018(4)	<u>(4)</u>	Common Stock	450
Restricted Stock Units	(1)	02/06/2018		M	600	02/06/2018(5)	<u>(5)</u>	Common Stock	1,200
Restricted Stock Units	(1)	02/06/2018		M	475	02/06/2018(6)	<u>(6)</u>	Common Stock	1,425
Restricted Stock Units	(1)					02/06/2019(7)	<u>(7)</u>	Common Stock	1,800

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
. 9	Director	10% Owner	Officer	Other				
Abbott John S			Pres					
215 S CASCADE ST			Varistar, Sr					
FERGUS FALLS, MN 56537-2801			VP, M/I Plat					

### **Signatures**

/s/ John S Abbott by Ella Leapaldt,
Attorney-in-Fact
02/08/2018

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Otter Tail Corporation common stock.

Reporting Owners 2

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- (2) These shares were withheld by the Corporation to pay taxes due upon vesting of this award, exempt pursuant to Rule 16b-3(e).
- (3) Total direct holding acquired pursuant to Restricted Stock Units and Performance Share Awards.
- (4) The restricted stock units vest in four equal installments which began February 6, 2016.
- (5) The restricted stock units vest in four equal annual installments which began February 6, 2017.
- (6) The restricted stock units vest in four equal annual installments which began February 6, 2018.
- (7) The restricted stock units vest in four equal annual installments beginning the date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.