## Edgar Filing: GALVIN WALTER J - Form 4

| GALVIN WA<br>Form 4  | ALTER J                                 |  |   |   |   |                |  |  |   |  |  |
|--|---|--|---|---|---|----------------|--|--|---|--|--|
| January 03, 2  | 019                                     |  |   |   |   |                |  |  |   |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION                    |   |  |   |   |   |                |  |  | PPROVAL   |  |  |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |   |  |   |   |   | OMB<br>Number: | 3235-0287  |  |   |  |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or         | er <b>STATEM</b>                        |  |   |   |   |                |  |  |   |  |  |
| Form 5<br>obligation<br>may contin<br><i>See</i> Instruct<br>1(b).         | s Section 17(a                          | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |   |   |                |  |  |   |  |  |
| (Print or Type R   | esponses)                               |  |   |   |   |                |  |  |   |  |  |
| GALVIN WALTER J Symbol   |   |  | r Name <b>and</b> Ticker or Trading Corp [AEGN] |   |   |                | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |  |
| (Last)   | (First) (M                              | C  | 3. Date of Earliest Transaction                 |   |   |                | (Check all applicable)   |  |   |  |  |
| (Month   |   |  | nth/Day/Year)<br>01/2019                        |   |   |                | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below)  |  |   |  |  |
|  | ndment, Date Original<br>th/Day/Year)   |  |   |   | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |                |  |  |   |  |  |
| CHESTERF   | IELD, MO 63005                          | 5  |   |   |   |                | Person   | Note than one R  | epotung   |  |  |
| (City)   | (State) (                               | Zip) Tabl  | e I - Non-D                                     | erivative S   | Securi  | ties Ac        | quired, Disposed o   | f, or Beneficia  | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                       | 2. Transaction Date<br>(Month/Day/Year) | Yransaction Date 2A. Deemed<br>onth/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year)  |   | 4. Securi<br>onAcquired<br>Disposed<br>(Instr. 3,<br>Amount | (A) o<br>of (D<br>4 and<br>(A)<br>or  | )              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Deferred<br>Stock Units  | 01/01/2019                              |  | A   |   | (D)   | \$ 0           | 42,928   | D  |   |  |  |
| (1)  |   |  |   |   |   |                |  |  |   |  |  |
| Common<br>Stock  |   |  |   |   |   |                | 12,000   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | Transac<br>Code<br>(Instr. 8 | tionNumber<br>of<br>) Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 3                   |                    | Amou<br>Under<br>Securi<br>(Instr. | lying                                  | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|--------------------------------------|---|------------------|---|------------------------------|--|---------------------|--------------------|------------------------------------|--|--------------------------------------|--|
|                                      |   |                  |   | Code                         | V (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                              | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

4.

5.

6. Date Exercisable and 7. Title and

8. Price of 9. Nu

## **Reporting Owners**

**Reporting Owner Name / Address** 

1. Title of 2.

|   | Director | 10% Owner | Officer | Other |  |  |  |  |
|---|----------|-----------|---------|-------|--|--|--|--|
| GALVIN WALTER J<br>17988 EDISON AVE.<br>CHESTERFIELD, MO 63005    | Х        |           |         |       |  |  |  |  |
| Signatures  |          |           |         |       |  |  |  |  |
| /s/ Mark A. Menghini, as Attorney-in-Fact for Walter J.<br>Galvin |          |           |         |       |  |  |  |  |
| **Signature of Reporting Person                                   |          |           |         |       |  |  |  |  |

3. Transaction Date 3A. Deemed

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Relationships** 

The Deferred Stock Units ("DSUs") were granted in lieu of the reporting person's 2019 first quarter cash retainer. The DSUs equal the reporting person's quarterly retainer fee divided by the January 2, 2019 closing price of the issuer's common stock. Each DSU represents the issuer's obligation to transfer one share of Class A common stock, \$0.01 par value per share ("Common Stock"), in accordance with

(1) the terms of the deferred stock unit agreement pursuant to which the DSUs were granted. The DSUs were granted under the issuer's Non-Employee Director Equity Plan and are immediately vested upon grant. Promptly following termination of the reporting person's service on the issuer's Board of Directors or at the reporting person's election of a specified distribution date, the issuer will distribute to the reporting person shares of Common Stock equal to the number of DSUs reflected in the reporting person's account at such time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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1/03/2019

Date