Edgar Filing: Lee Mark Hakjoon - Form 4

Lee Mark Hal Form 4 March 13, 20	5											
Check this if no longe subject to Section 16 Form 4 or	4 UNITEI	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per	
Form 5 obligation may contin <i>See</i> Instruct 1(b).	Filed pu s Section 17	7(a) of the	o Section 16(a) of the Securities Exchange Act of 1934, he Public Utility Holding Company Act of 1935 or Section h) of the Investment Company Act of 1940						response 0.5 n			
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> Lee Mark Hakjoon			2. Issuer Name and Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]					-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 777 NORTH BROADWAY			3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019						 Director 10% Owner X_ Officer (give title Other (specify below) below) EVP, Chief Credit Officer 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
				Amendment, Date Original (Month/Day/Year)								
LOS ANGEI	LES, CA 90012	2							Form filed by M Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-I	Deriv	vative S	ecuri	ties Aco	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executionary	emed on Date, if /Day/Year)	3. Transacti Code (Instr. 8) Code V	ionA D (I	nstr. 3,	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/11/2019			M <u>(1)</u>		68	A	<u>(2)</u>	268	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	03/11/2019		М	268	(3)	(3)	Common Stock	268	\$ (

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Lee Mark Hakjoon 777 NORTH BROADWAY LOS ANGELES, CA 90012			EVP, Chief Credit Officer					
Signatures								

Signatures

/s/ Georgia Lo, attorney-in-fact 03/13/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion into Common Stock upon vesting of the restricted stock units reported on Table II.
- (2) Each restricted stock unit represented a contingent right to receive one share of Common Stock of the Issuer.

The Reporting Person previously reported the award of 263 restricted stock units, based on a closing stock price as of March 9, 2018, on Form 4 filed March 13, 2018. The actual number of restricted stock units issued was 268 based on a closing stock price as of March 8,

(3) 2018. In addition, such restricted stock units actually vested in full on the date of grant, with the shares of common stock to be distributed on the first anniversary of the date of the grant or immediately upon the death, disability or retirement of the Reporting Person, or a change in control of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.