Avago Technologies LTD Form 4 September 09, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* OOI BOON C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(First) (Middle) Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction

(Month/Day/Year)

10% Owner

C/O AVAGO TECHNOLOGIES US

(Street)

09/05/2014

Other (specify \_X\_\_ Officer (give title below)

SVP, Global Operations

INC., 350 WEST TRIMBLE ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95131

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	09/05/2014		M	35,000	A	\$ 8.12	97,697	D	
Ordinary Shares	09/05/2014		M	7,500	A	\$ 35.45	105,197	D	
Ordinary Shares	09/05/2014		S	35,000	D	\$ 87.3304 (1)	70,197	D	
Ordinary Shares	09/05/2014		S	7,500	D	\$ 87.2166	62,697	D	
Ordinary Shares	09/05/2014		S	2,500	D	\$ 86.6121	60,197 (2)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 8.12	09/05/2014		M	35,000	(3)	01/14/2019	Ordinary Shares	35,000
Employee Right Stock Options (right to buy)	\$ 35.45	09/05/2014		M	7,500	<u>(4)</u>	03/11/2020	Ordinary Shares	7,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
coporting of the country requires	Director	10% Owner	Officer	Other			
OOI BOON C C/O AVAGO TECHNOLOGIES US INC. 350 WEST TRIMBLE ROAD SAN JOSE, CA 95131			SVP, Global Operations				
Signatures							
/s/ Patricia McCall, Attorney-in-Fact for Boon C. Ooi		09/09/2014					
**Signature of Reporting Person		Date					

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction executed in multiple trades at prices ranging from \$87.11 to \$87.70 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (2) Includes 57,501 restricted share units ("RSUs")
- (3) The option is fully vested and exercisable.

a currently valid OMB number.

- The option vests over four years, with 25% vesting on each anniversary of March 12, 2013. The option is exercisable, to the extent vested, starting on the date the average of the closing prices of the Company's ordinary shares (as reported on Nasdaq), over a ten consecutive trading day period is equal to or greater than 120% of the exercise price of option. This criteria has been met and the option is
- exercisable to the extent vested.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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