

Doherty Daniel Joseph III
Form 3
January 19, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Doherty Daniel Joseph III
(Last) (First) (Middle)

13000 S. SPRING STREET
(Street)

LOS ANGELES,,Â CAÂ 90061
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
01/10/2018

3. Issuer Name and Ticker or Trading Symbol
REED'S, INC. [REED]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

20,000

D

Â

Common Stock

3,433,334

I

See Footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Convertible Promissory Note	12/27/2017	04/21/2021	Common Stock	2,266,667	\$ 1.5	I	See Footnote <u>(1)</u>
Warrant	12/27/2017	12/27/2020	Common Stock	1,333,333	\$ 2.025	I	See Footnote <u>(1)</u>
Warrant	07/19/2017	07/13/2022	Common Stock	60,000	\$ 1.55	I	See Footnote <u>(1)</u>
Warrant	10/21/2017	04/21/2022	Common Stock	650,000	\$ 1.5	I	See Footnote <u>(1)</u>
Warrant	01/13/2018	07/13/2022	Common Stock	350,000	\$ 2	I	See Footnote <u>(1)</u>
Warrant	06/20/2018	12/22/2022	Common Stock	750,000	\$ 1.5	I	See Footnote <u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Doherty Daniel Joseph III 13000 S. SPRING STREET LOS ANGELES,, CA 90061	X	X	X	X

Signatures

/s/ Daniel J.
Doherty III

01/19/2017

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are held by Raptor/ Harbor Reeds SPV LLC, a significant shareholder of Reed's Inc. Mr. Doherty is a principal and (1) significant shareholder of Raptor/ Harbor Reeds SPV LLC. He shares discretionary authority to vote and dispose of the securities held by Raptor/ Harbor Reeds SPV LLC with James Pallotta and may be deemed to be the beneficial owner of these securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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