

COLUMBUS MCKINNON CORP
Form 4
May 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Celi Ivo

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President - EMEA

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/19/2014

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock						21,000	D
Common Stock	05/19/2014		A		6,555 ⁽¹⁾	\$ 0 27,555	D
Common Stock	05/19/2014		A		3,436 ⁽²⁾	\$ 0 30,991 ⁽³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013	01/24/2020	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	3,500
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	6,300
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,200
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					<u>(8)</u>	05/20/2023	Common Stock	9,000
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		A	8,706	05/19/2014	05/19/2024	Common Stock	8,706

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Celi Ivo
140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228

Vice President - EMEA

Signatures

Ivo Celi

05/21/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010. 3,971 units become fully vested and non-forfeitable on 5/23/2014 and the remaining 2,584 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.

(2) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

(3) Includes 14,426 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 2,538 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,802 units become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 3,230 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; and the remaining 5,856 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

(4) Exercisable 50% per year for two years beginning 1/25/2014, if reporting person remains an employee of issuer.

(5) All exercisable, subject to IRS limitations.

(6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

(7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

(8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

(9) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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