TURNER MARK A

Form 4 June 07, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* TURNER MARK A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

WSFS FINANCIAL CORP [WSFS]

(Check all applicable)

**CEO** 

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Other (specify Officer (give title

below)

C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE **AVENUE** 

(Street)

(First)

(Middle)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Director

Applicable Line)

Filed(Month/Day/Year)

06/05/2018

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WILMINGTON, DE 19801

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative	Secu	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/05/2018	06/05/2018	M	5,500	A	\$ 16.5067	137,136	D	
Common Stock	06/05/2018	06/05/2018	S <u>(1)</u>	5,500	D	\$ 53.2223 (2)	131,636	D	
Common Stock	06/05/2018	06/05/2018	M	5,400	A	\$ 16.5067	137,036	D	
Common Stock	06/05/2018	06/05/2018	S <u>(1)</u>	5,400	D	\$ 53.2264 (3)	131,636	D	

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Common Stock	06/06/2018	06/06/2018	M	15,300	A	\$ 16.5067	146,936	D
Common Stock	06/06/2018	06/06/2018	S <u>(1)</u>	15,300	D	\$ 54.2394 (4)	131,636	D
Common Stock	06/06/2018	06/06/2018	M	15,500	A	\$ 16.5067	147,136	D
Common Stock	06/06/2018	06/06/2018	S <u>(1)</u>	15,500	D	\$ 54.2529 (5)	131,636	D
Common Stock	06/07/2018	06/07/2018	M	100	A	\$ 16.5067	131,736	D
Common Stock	06/07/2018	06/07/2018	S <u>(1)</u>	100	D	\$ 54.65	131,636	D
Common Stock	06/07/2018	06/07/2018	M	13,414	A	\$ 16.5067	145,050	D
Common Stock	06/07/2018	06/07/2018	S <u>(1)</u>	13,414	D	\$ 54.4703 (6)	131,636	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 16.5067	06/05/2018	06/05/2018	M		5,500	<u>(7)</u>	02/28/2020	Common Stock	5,500
Stock Options	\$ 16.5067	06/05/2018	06/05/2018	M		5,400	<u>(7)</u>	02/28/2020	Common Stock	5,400

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(Right to buy)									
Stock Options (Right to buy)	\$ 16.5067	06/06/2018	06/06/2018	M	15,300	<u>(7)</u>	02/28/2020	Common Stock	15,300
Stock Options (Right to buy)	\$ 16.5067	06/06/2018	06/06/2018	M	15,500	<u>(7)</u>	02/28/2020	Common Stock	15,500
Stock Options (Right to buy)	\$ 16.5067	06/07/2018	06/07/2018	M	100	<u>(7)</u>	02/28/2020	Common Stock	100
Stock Options (Right to buy)	\$ 16.5067	06/07/2018	06/07/2018	M	13,414	<u>(7)</u>	02/28/2020	Common Stock	13,414

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TURNER MARK A						
C/O WSFS FINANCIAL CORPORATION			CEO			
500 DELAWARE AVENUE			CEO			
WILMINGTON DE 19801						

## **Signatures**

/s/ Mark A. Turner by Charles Mosher, Power of
Attorney 06/07/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a 10b5-1 trading plan previously adopted by Mr. Turner.
- Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.80 to \$53.85, inclusive.

  (2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.80 to \$53.80, inclusive.

  (3) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$53.45 to \$54.725, inclusive.
- (4) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

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- Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$53.45 to \$54.7, inclusive.
- (5) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
  - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$54.00 to \$54.75, inclusive.
- (6) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (7) Vests 300,000 share(s) on 28-Feb-2015, 150,000 share(s) on 29-Feb-2016, 150,000 share(s) on 28-Feb-2017, 150,000 share(s) on 28-Feb-2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.